

SEARCH FOR POINT-LIKE SOURCES OF COSMIC RAYS WITH ENERGIES ABOVE
 $10^{18.5}$ eV IN THE HIRES-I MONOCULAR DATASET

by

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of the requirements for the degree

of

Master of Science

in

Physics

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ABSTRACT

We report the results of a search for pointlike deviations from isotropy in the arrival directions of ultra-high energy cosmic rays in the northern hemisphere, carried out using a skymap technique. In the monocular dataset collected by the High-Resolution Fly's Eye, consisting of 1,525 events with energy exceeding $10^{18.5}$ eV, we find no evidence for pointlike excesses.

Pointlike excesses at these energies can arise from only a limited number of source scenarios. Galactic and extragalactic magnetic fields are expected to produce large perturbations in the arrival directions of charged particles. A compact arrival direction excess at these energies would therefore suggest neutral primaries. Neutrons, however, possess a finite lifetime, thus any source of neutrons would have to be located within the Milky Way Galaxy. Results of studies of pointlike behavior in arrival direction of UHECR are ambiguous. While pointlike excesses have been observed by other experiments, previous studies of HiRes data have yielded null results and HiRes events do not support evidence for previously identified clusters.

Our technique allows calculation of sensitivity and upper limits using Monte Carlo simulated sources. We place an upper limit of 0.8 events/(km² yr) (90% c.l.) on the flux from such sources, place more stringent upper limits as a function of position, and determine detector sensitivity as a function of position in the sky. We also consider the historically significant source candidate Cygnus X-3, an x-ray binary, as the focus of an *a priori* search and place an upper limit of 0.5 events/(km² yr) (90% c.l.) from the direction of Cygnus X-3.

CHAPTER 1

INTRODUCTION

Ultra-High Energy Cosmic Rays

Ultra-High Energy Cosmic Rays (UHECR) are cosmic rays with energies exceeding roughly 10^{17} eV. When they strike Earth's atmosphere, they produce a shower of secondary particles (Figure 1.1). All UHECR detectors use the secondary shower to reconstruct the energy, composition, and arrival direction of the incident cosmic ray. The two most common detection methods are: 1) ground arrays which directly detect the footprint of the shower, or 2) nitrogen fluorescence detectors, which detect the full shower indirectly. The most recent experiments in UHECR are the Akeno Giant Air Shower Array (AGASA), which utilized a ground array and the High-Resolution Fly's Eye (HiRes), which utilizes nitrogen fluorescence detectors.

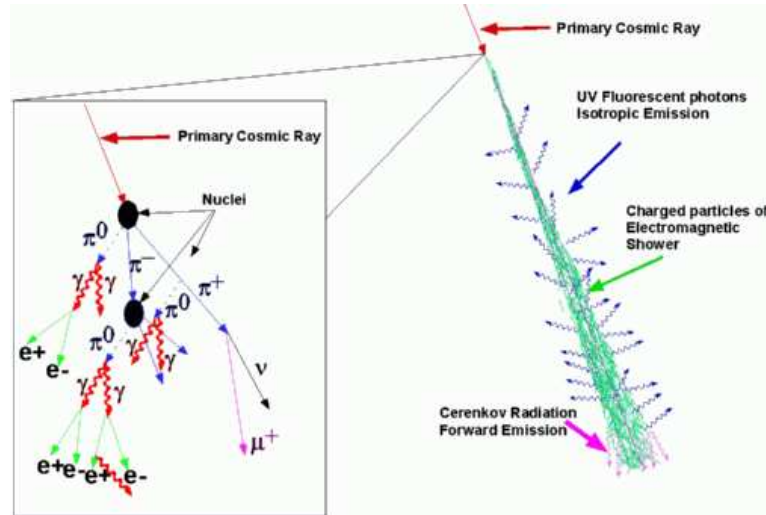


Figure 1.1: An example shower of secondary particles due to an incident UHECR.

UHECR are a topic of great interest today because there are a limited number of acceleration mechanisms that can produce cosmic rays of such high energy. Understanding the energy spectrum (Figure 1.2), composition, and sources of UHECR are the three pillars of UHECR research and can each yield clues about the most energetic processes in our universe.

Previous Spectrum and Composition Results

A topic of great debate in the energy spectrum of UHECR is the GZK cutoff (named for Greisen, Zatsepin, and Kuzmin), near 6×10^{19} eV [1, 2]. Several experiments leading up to and including AGASA indicated that the flux of UHECR may be higher than predicted by the GZK cutoff. As UHECR propagate through the universe, they encounter radiation left from the Big Bang, known as the Cosmic Microwave Background Radiation (CMB). Ordinarily, cosmic rays interact infrequently with the CMB, but at these ultra-high energies, relativistic effects due to blue-shift are a significant contributor to the rate of interaction. UHECR are expected to dissipate their energy within a fairly short distance due to collisions with the CMB, as seen in Figure 1.3. The primary interaction is:

$$p + \gamma_{2.7K} \rightarrow \Delta^+ \rightarrow p + \pi^0, n + \pi^+ \quad (1.1)$$

and is frequently referred to as the pion production threshold. UHECR protons are expected to dissipate their energy to below the GZK feature within order 100 Mpc and UHECR iron nuclei within order 10 Mpc (the Milky Way galaxy is roughly 30 kpc in diameter and the nearest large galaxy, Andromeda, is roughly .9 Mpc away). There are not many highly energetic astrophysical objects within this distance, so a sharp reduction in the flux of cosmic rays is expected near 6×10^{19} eV with a “pile-up” of lower energy events just prior to the drop from events that have lost their super-GZK energies in collisions. A feature near 4×10^{18} eV, known as the “ankle”, shows a slight change in the slope of flux vs energy (Figure 1.2) and has been well-measured by numerous experiments [3, 4, 5, 6]. The “ankle” is similar to the GZK feature, but is due to the electron pair production threshold (at 6×10^{17} eV) instead of pion production. Finally, the cause of a smaller feature near 3×10^{17} eV, the “second knee,” is not known, but may be due to the distribution of extragalactic sources or to a change in the galactic spectrum.

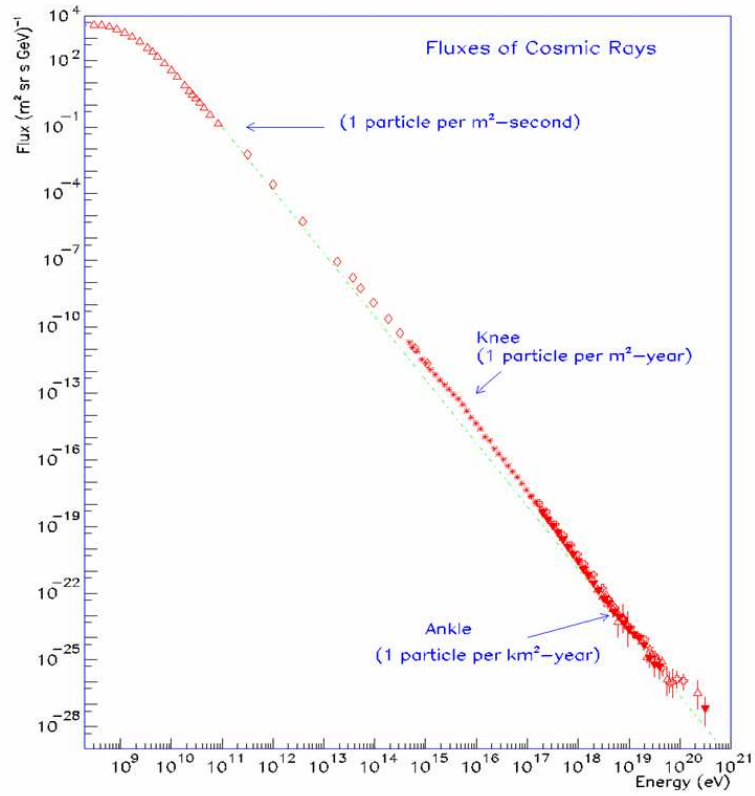


Figure 1.2: The energy spectrum of cosmic rays.

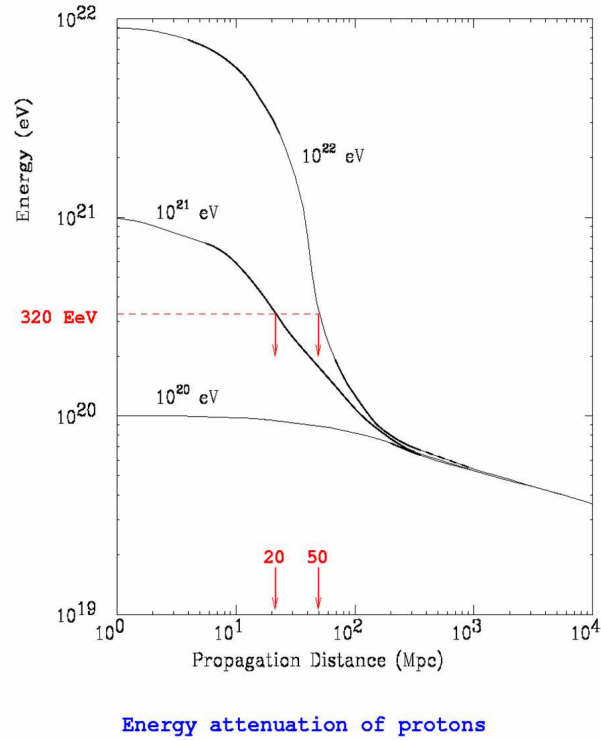


Figure 1.3: Energy vs. propagation distance of several high energy protons shows rapid loss of energy due to collisions with the CMB.

UHECR are thought to be primarily protons or nuclei because acceleration mechanisms for neutral or less massive particles do not easily yield these energy scales. Particles with higher energy penetrate further into our atmosphere, so profiles of shower depth vs. energy can yield clues to the composition of the incident cosmic ray. Figure 1.4 indicates there may be a composition change from heavy to light incident cosmic rays near 10^{18} eV because of the change in slope.

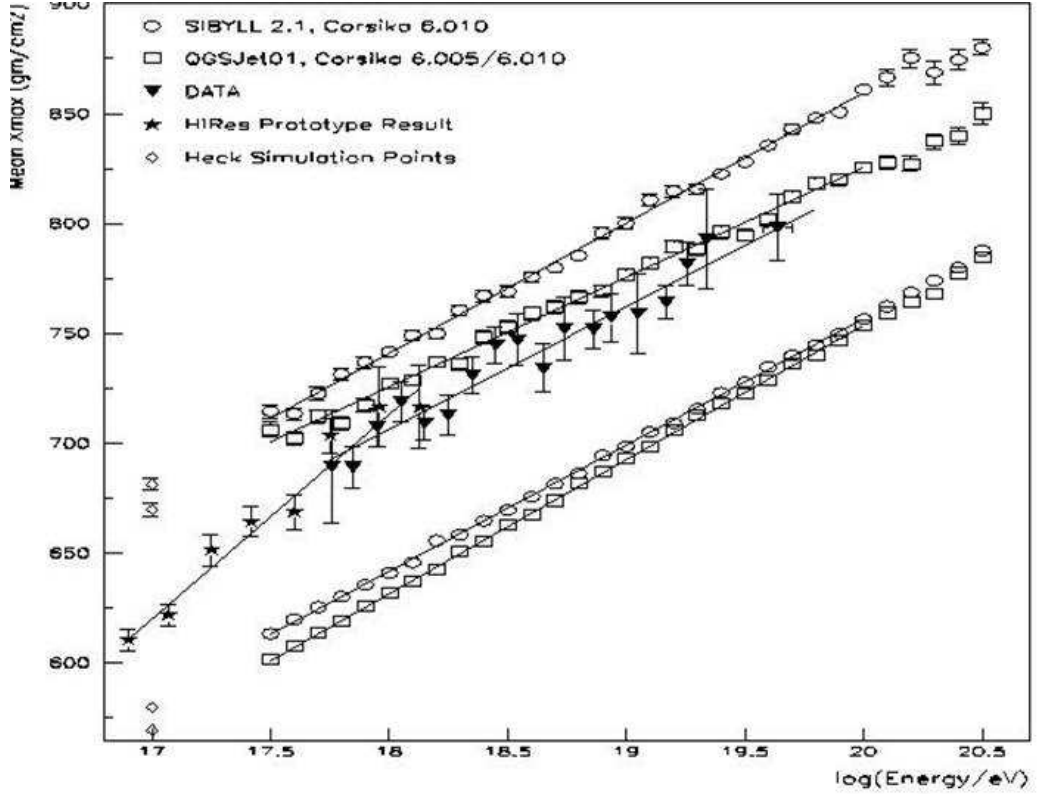


Figure 1.4: Shower penetration depth into atmosphere vs. energy. The slope change near 10^{18} eV is perhaps indicative of a composition change from heavy to light incident cosmic rays.

Previous Anisotropy Searches

In the search for UHECR, a variety of strategies have been employed to detect deviations from isotropy in event arrival directions. The results of these searches have been ambiguous. AGASA found evidence for a dipole moment in Right Ascension (RA) at energies above 10^{18} eV [7]. They attribute the dipole moment to an excess either from the galactic center or Cygnus X-3, an x-ray binary within our galaxy (Figure 1.5). On smaller angular scales, excesses have been alternately claimed and refuted in the vicinity of Cygnus X-3 [8, 9, 10, 11], including the

report of a possible excess in a point-source search [12]. AGASA also reports six point-like clusters in their most recent published data set [13, 14, 15], including a cluster with three events known as the “AGASA Triplet.”

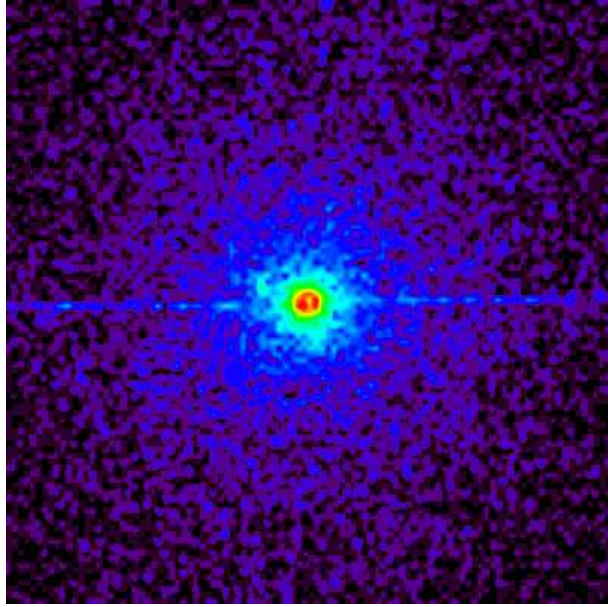


Figure 1.5: Cygnus X-3 in x-rays as observed by CHANDRA. This picture is of size 200" x 200" (arcseconds).

CHAPTER 2

THE HIGH-RESOLUTION FLY’S EYE

As mentioned previously, the High-Resolution Fly’s Eye (HiRes) is a nitrogen fluorescence detector. HiRes has two rings of detectors on hills 12.6 km apart at the Dugway Proving Grounds in Utah (Figure 2.1). Each detector consists of a mirror, shown in Figure 2.2, and an array of photomultiplier tubes, shown in Figure 2.3. The detectors are arranged in a semi-circular ring so we have nearly full azimuthal angle coverage (Figure 2.4). HiRes-I has been in operation since June of 1997 [16] and currently has the largest data set of events with energies greater than $10^{18.5}$ eV. HiRes-II has been in operation since December of 1999 and has an increased aperture in altitude - the HiRes-II event display has two “rings,” instead of the one shown in Figure 2.4. This increased azimuthal coverage allows HiRes-II to reconstruct lower energy events because the shower core of these events occurs at higher altitudes. Therefore, HiRes-II monocular now has more total events than HiRes-I because the flux of lower energy events is larger than that of high energy events. HiRes-I and HiRes-II will frequently detect the same event, yielding a stereo data set with excellent reconstruction, although this data set has substantially fewer events than the monocular data sets.



Figure 2.1: The HiRes-II detector before installation of the photomultiplier tube arrays.



Figure 2.2: A single detector: a mirror and array of photomultiplier tubes.

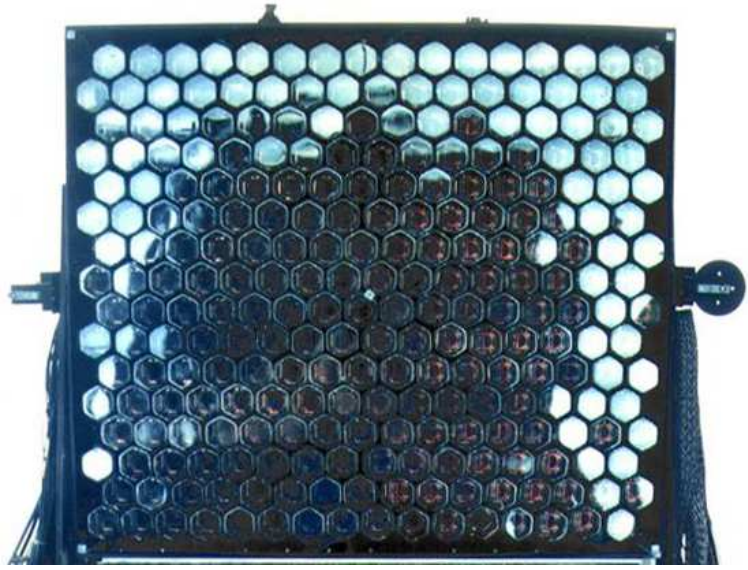


Figure 2.3: The “Fly’s Eye,” the grid of photomultiplier tubes used to detect nitrogen fluorescence.

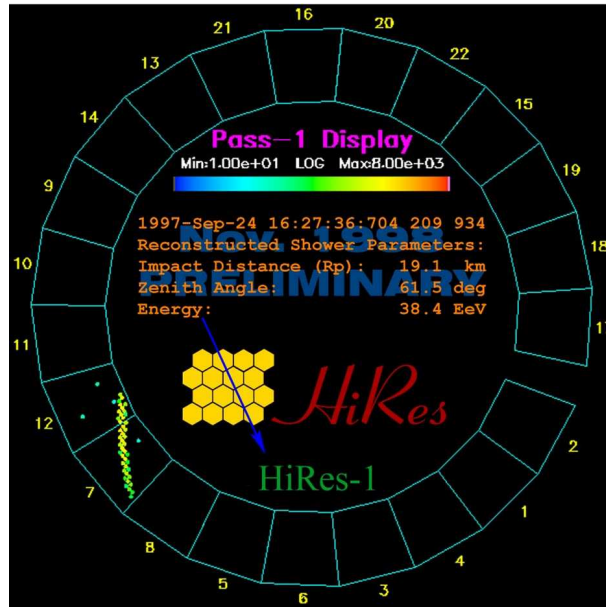


Figure 2.4: A cosmic ray shower as seen by the HiRes-I detector.

The HiRes Spectrum

While AGASA results indicate an energy spectrum inconsistent with the GZK feature [5], shown in Figure 2.5, recent results from HiRes-I and HiRes-II monocular data indicate agreement with theoretical prediction of the GZK feature, seen in Figure 2.6 [17, 18, 19]. A theoretical model is shown by the line in Figure 2.5. It includes the “second knee,” near 3×10^{17} eV, the “ankle,” near 4×10^{18} eV [3], due to the threshold of energy loss from pair production at

6×10^{17} eV, and the GZK feature, near 6×10^{19} eV, due to energy loss from pion production collisions. The χ^2 fit of HiRes monocular data to this theoretical prediction is 48.5/37 degrees of freedom [19] and the data clearly shows the “ankle” and the GZK feature. However, it is important to note the large disparity (roughly 30%) in scale between the AGASA and HiRes spectrums, seen in Figure 2.5. The AGASA spectrum shows good agreement with the HiRes spectrum when energies are divided by 1.3 until the pion-production energy threshold, where they markedly diverge. However, it is quite likely that any scale factor between these two data sets will be a function of energy and this large disparity is an indicator of a problem somewhere in modern models of cosmic ray showers and their various detection methods. It is hoped that future experiments may resolve this problem (see Chapter 7).

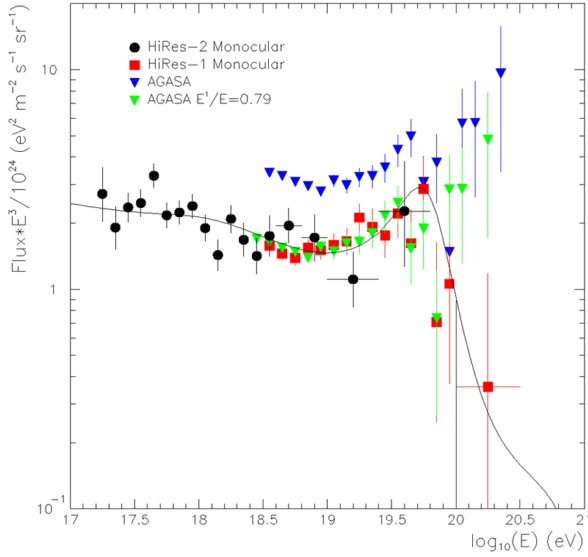


Figure 2.5: Plot of E^3 times the flux of cosmic rays versus energy for AGASA, HiRes-I and HiRes-II monocular data. Comparison of HiRes Monocular energy spectrums to the AGASA spectrum shows roughly a 30% disparity in scale.

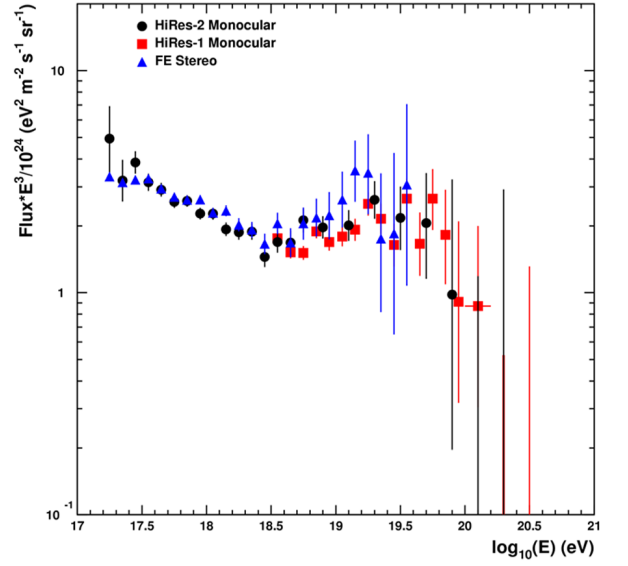


Figure 2.6: Plot of E^3 times the flux of cosmic rays versus energy for the Fly's Eye stereo [4], HiRes-I and HiRes-II monocular energy spectrums.

HiRes Anisotropy Searches

As of the date of publication of this thesis, HiRes has detected no pointlike or large-scale sources in any of the monocular or stereo data sets. Discussion of a few HiRes anisotropy searches follows.

Global Dipole Enhancements

The most recent results [20] have supported the null hypothesis for large-scale dipole behavior in arrival directions for particles above $10^{18.5}$ eV in the HiRes-I monocular data. Global dipole enhancements look for a surplus in half of the sky and a deficit in the opposing half. It is useful for extended objects, such as the galactic core, or for sources with particle trajectories that experience significant magnetic deflection. To calculate dipole moment, a potential source is chosen and the angle between the source and each event is calculated. Figure 2.7 shows the event distribution in $\cos(\theta)$ for the HiRes-I monocular data, where θ is the angular separation of events from the galactic center. Figure 2.8 shows the corresponding plot for a Monte Carlo generated isotropic background and Figure 2.9 for the background corrected data. The background corrected distribution is then fit with the function [21]:

$$n = \frac{1}{2} + \frac{\alpha}{2} \cos(\theta) \quad (2.1)$$

where n is the number of events in each bin and α is the dipole moment, given by the fit. $\alpha = 1$ corresponds to a strong dipole moment from the potential source, $\alpha = -1$ to a strong dipole moment opposite the potential source, while $\alpha = 0$ corresponds to an isotropic distribution in dipole moment from the potential source. Calculation of α yields $-.010 \pm .055$ for the galactic core, $-.035 \pm .060$ for Centaurus A, and $-.005 \pm .045$ for M87 [20]. Thus, HiRes-I measures no global dipole enhancement from the galactic core, Centaurus A, or M87. When the analysis is done including HiRes-I angular resolution, values of α are nearly unchanged (see Reference [20]) and are still consistent with the null result for a global dipole enhancement from these three candidates.

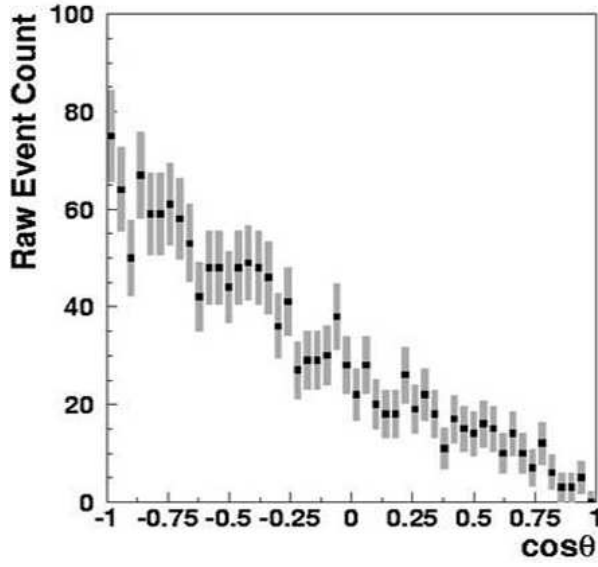


Figure 2.7: Angular separation of HiRes-I monocular data from the galactic center.

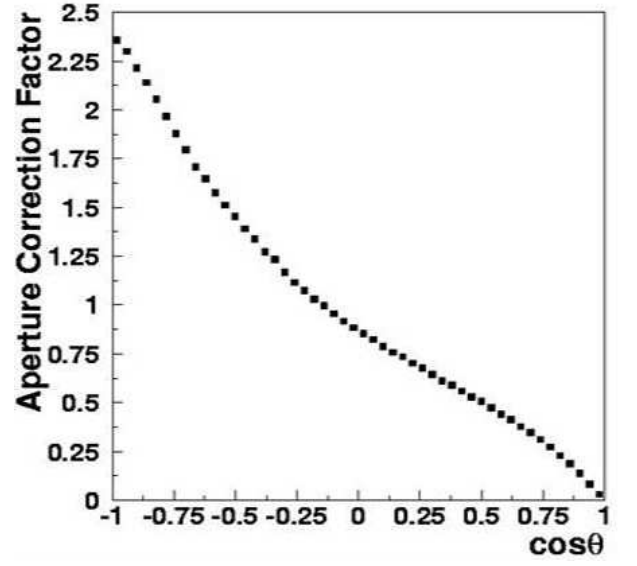


Figure 2.8: Angular separation from the galactic center expected from an isotropic distribution.

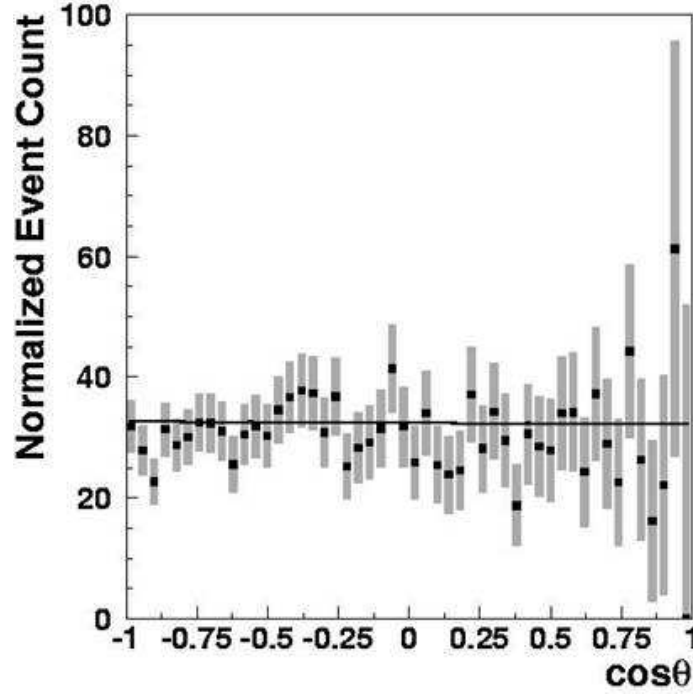


Figure 2.9: Background corrected angular separation of HiRes-I monocular data from the galactic center.

Overlap With AGASA Clusters

An analysis [22] recently submitted for publication, done by the Montana contingent of the HiRes collaboration, calculates the number of overlaps of HiRes-I monocular events with the AGASA clusters [13, 14, 15]. AGASA reports six clusters of size 2.5° in a data set with 59 UHECR events with energies above 4×10^{19} eV and conclude that 1.7 clusters are likely due to random fluctuation. HiRes-I monocular data contains 31 events of energy greater than 4×10^{19} eV, two of which overlap with AGASA data when using error bars of size 3σ (see Chapter 3 for further discussion of error bars). The expectation of the number of overlaps from a Monte Carlo generated isotropic background is 3.976 and 91% of isotropic data sets with the same exposure as HiRes-I monocular data see two overlaps or more. The distribution is shown in Figure 2.10.

Since the energy spectrums of AGASA and HiRes are quite disparate, a direct comparison of HiRes-I and AGASA events with the same lower energy bound is probably not sufficient. Since the HiRes-I aperture is larger than AGASA's, a HiRes-I data set approximately 1.36 times larger than AGASA's will yield the same signal size in the regions of the AGASA clusters. The 80 highest energy events in the HiRes-I monocular data have energy of 2.65×10^{19} eV or higher. At the 3σ level, Monte Carlo isotropic background gives an expected overlap of 8.23 ± 2.82 events. Nine overlaps were observed and 45% of Monte Carlo isotropic data sets show nine overlaps or more (Figure 2.11). The AGASA clusters with these 80 events and their respective errors are shown in Figure 2.12. The probability of HiRes-I and AGASA observations both coming from the same set of constant intensity sources is .4%. When the 1.7 random clusters in the AGASA clusters are considered, the joint probability increases to 3%. HiRes-I monocular data is inconsistent with the hypothesis that the AGASA clusters are persistent sources of UHECR.

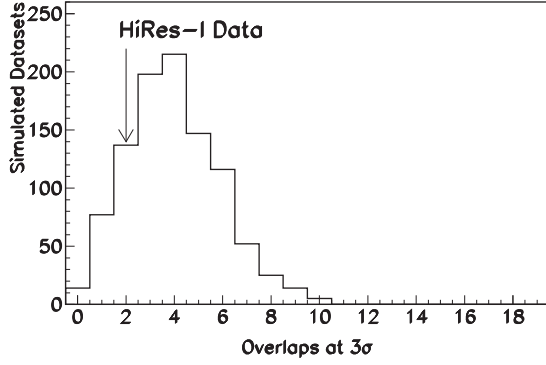


Figure 2.10: Number of overlaps with AGASA clusters for HiRes-I events with energy greater than 4×10^{19} eV. Expectation of the number of overlaps from a Monte Carlo generated isotropic background is shown as the histogram with the actual number observed (2) indicated by the arrow.

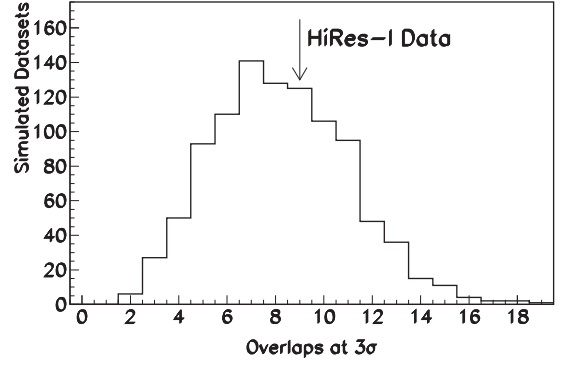


Figure 2.11: Number of overlaps with AGASA clusters for HiRes-I events with energy greater than 2.65×10^{19} eV. Expectation of the number of overlaps from a Monte Carlo generated isotropic background is shown as the histogram with the actual number observed (9) indicated by the arrow.

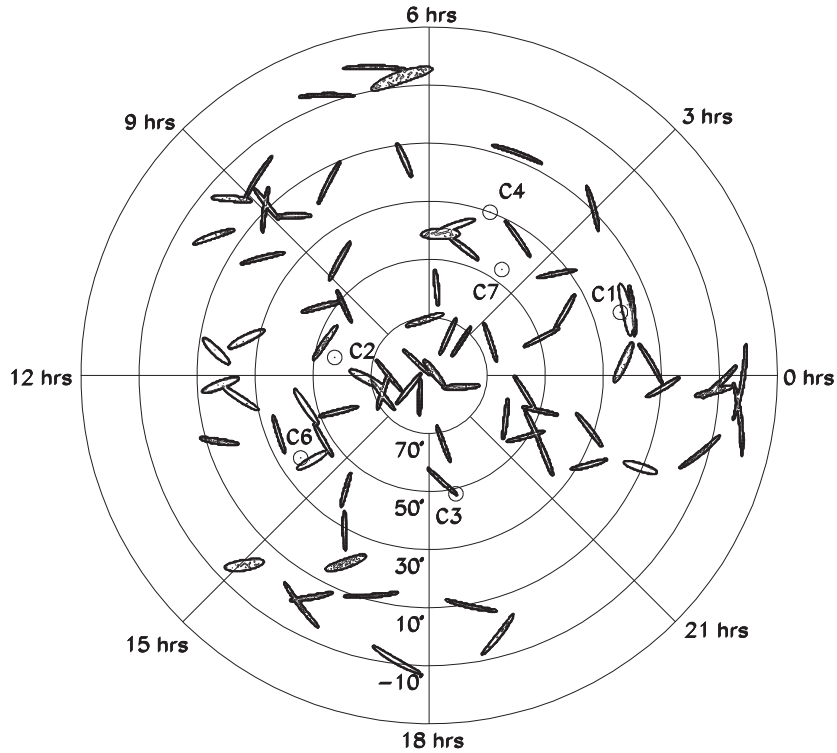


Figure 2.12: Arrival directions of HiRes-I monocular events and their “error ellipses” (see Chapter 3) with energy greater than 2.65×10^{19} eV shown with AGASA clusters. Coordinates are shown as a polar projection of equatorial coordinates.

Autocorrelation Studies

The basic technique of autocorrelation is similar to that of a global dipole search except separation angles are calculated between every pair of points instead of between a potential source and the points. Autocorrelation provides a measure of small scale anisotropy and is useful for determining if pointlike behavior in arrival direction exists within a data set. If pointlike behavior exists, one would expect a peak for small angles in the distribution of angular separation between events. A recently published paper [23] applied autocorrelation techniques to 52 events from the HiRes-I monocular data set with energies exceeding 3×10^{19} eV and the AGASA data set. No peak was observed for HiRes-I data (Figure 2.13) while a substantial peak for small angles was seen in AGASA data (Figure 2.14). Thus, HiRes-I monocular data is consistent with the null hypothesis for clustering at small angles. By simulation of doublets on a Monte Carlo generated isotropic background, it was determined with 90% confidence that HiRes-I is sensitive to 3.5 doublets above background and no more than 13% of HiRes-I events share common arrival directions.

Another autocorrelation study was done on stereo data from HiRes as a function of energy [24]. The analysis included 271 well-reconstructed events with energies greater than 10^{19} eV. The results of the analysis are shown in Figure 2.15, where the color scale gives the probability of measuring the same number of event pairs or more with the same energy and separation angle of each bin. The lower the probability, the more significant the autocorrelation. The most significant autocorrelation measured 10 pairs of events with separation angle 2.2° and an energy of 1.69×10^{19} eV, where 1.9% of isotropic data sets with the same exposure as HiRes stereo also measured 10 pairs or more with the same separation angle and energy. However, when the same analysis was applied over many Monte Carlo generated isotropic data sets, 52% measured the same probability of 1.9% or less somewhere in the plot shown in Figure 2.15. Thus, HiRes stereo data is also consistent with the null hypothesis for small-scale clustering. Additionally, when two doublets (four events) were inserted into multiple isotropic data sets and the analysis was repeated for each one, the chance probability with 90% confidence was 9%, substantially smaller than 52%. This indicates HiRes stereo data probably does not contain two doublets or more.

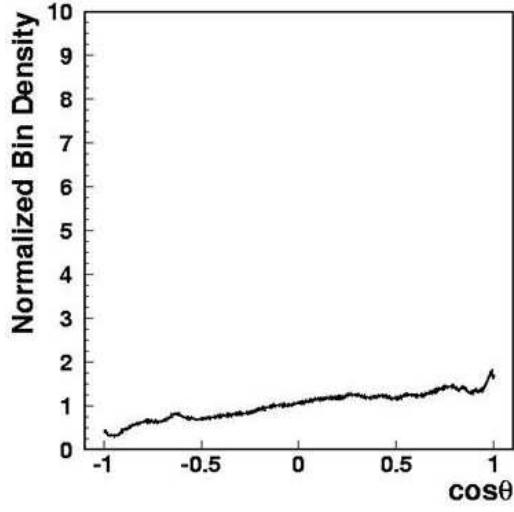


Figure 2.13: Autocorrelation observed in HiRes-I monocular data.

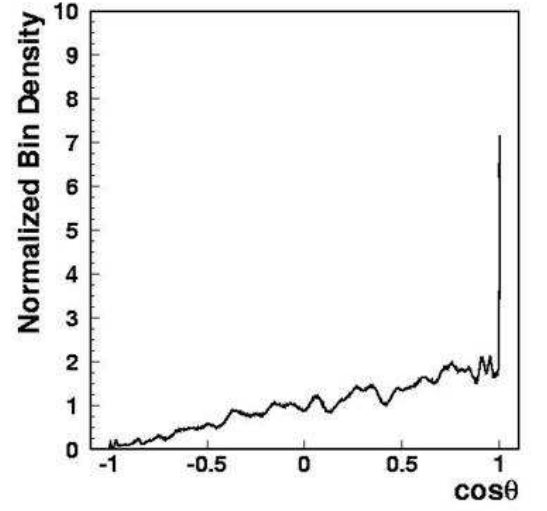


Figure 2.14: Autocorrelation observed in AGASA data.

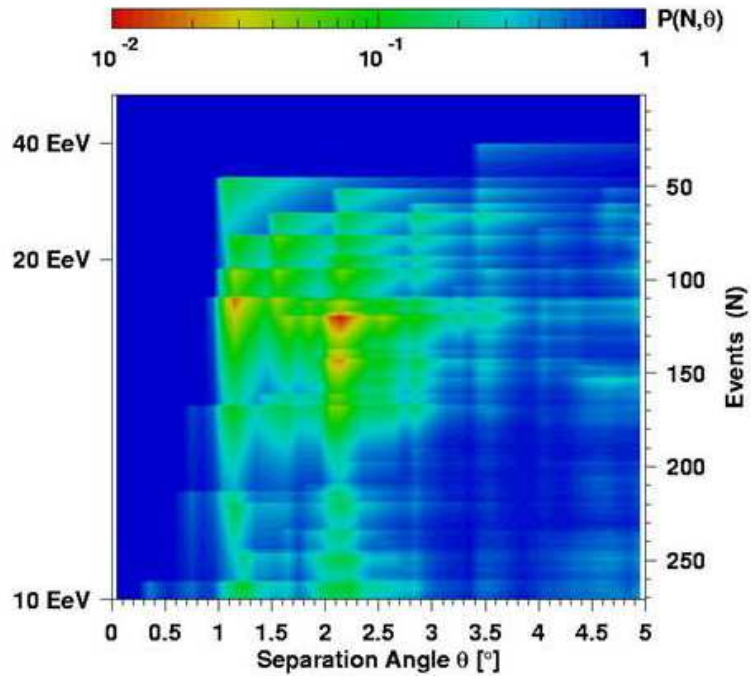


Figure 2.15: Autocorrelation observed in HiRes stereo data. This plot is of energy vs. autocorrelation angle. Color indicates the background corrected density of pairs displaying the same autocorrelation angle at the same energy. The most significant point has a 52% chance probability of being due to statistical fluctuations.

Summary: Goals of This Thesis

Pointlike excesses at these energies can arise from only a limited number of source scenarios. Galactic and extragalactic magnetic fields are expected to produce large perturbations in the arrival directions of charged particles; a proton of energy $10^{18.5}$ eV may be deflected by several tens of degrees as it traverses the disk of the Milky Way galaxy, with a typical magnetic field of order 1 microgauss [25]. A compact arrival direction excess at these energies would therefore suggest neutral primaries. Neutrons, however, possess a finite lifetime and at this energy we expect them to have a decay length of order 10 kpc. Thus any viable source of standard model neutral hadronic matter would have to be located within the Milky Way Galaxy.

It is clear that studies of pointlike behavior in arrival direction of UHECR are ambiguous. While pointlike excesses have been observed by other experiments, autocorrelation studies of HiRes data have yielded null results and HiRes events do not support evidence for previously identified clusters. In this thesis, we conduct a systematic search for pointlike behavior in arrival direction of cosmic ray events above $10^{18.5}$ eV in the HiRes–I monocular data set using a technique developed independent of the autocorrelation study. Additionally, our technique allows calculation of sensitivity and upper limits using Monte Carlo simulated sources. We also consider the historically significant source candidate Cygnus X-3 as the focus of an *a priori* search.

The density skymap of Chapter 3 and all subsequent material, with the exception of the isotropic background technique and exposure calculations, are original work of the author.

CHAPTER 3

THE HIRES MONOCULAR DATASET

Airshower Reconstruction

The High-Resolution Fly’s Eye (HiRes) consists of two nitrogen fluorescence observatories — HiRes-I and HiRes-II — separated by 12.6 km and located at Dugway, Utah. HiRes was conceived as a stereo detector, however due to the larger available statistics it is desirable to reconstruct extensive airshowers in monocular mode as well. This HiRes-I monocular dataset consists of 2,820 good-weather detector hours of data, collected between June 1997 and February 2003. A total of 1,525 events with energies exceeding $10^{18.5}$ eV were collected during this time and are included in the present analysis (Figure 3.1).

The HiRes-I monocular dataset and airshower reconstruction by profile constrained fitting has recently been described in the literature [19]. The fitting technique is graphically demonstrated for a cosmic ray shower as seen by HiRes-II in Figure 3.2. A single shower is typically seen in several mirrors, as shown in the upper left corner, and is constructed into one track, as shown in the upper right corner. Only events showing linear tracks in altitude vs azimuth (upper right) and time vs azimuth (lower left) pass initial cuts because a shower will develop in both space and time, where the evolution in both should be near the speed of light. HiRes-I tracks are too short for direct calculation of energy and shower depth, so a profile constrained fit is used instead. Events passing initial cuts are fit using the Gaisser-Hillas parametrization (lower right) [26]. The deposition of energy in a material as a shower develops, called the shower profile, is a well parameterized phenomenon. The profile, intensity vs. penetration depth, is fit to measurement and integrated to give the energy of the incident particle. The maximum point on the curve gives the depth of shower maximum, which allows full reconstruction of event coordinates in conjunction with the altitude vs. azimuth (upper right) and azimuth vs. time (lower left) plots.

When the energy of stereo events are reconstructed using the timing fit used by HiRes-II and HiRes stereo, then compared to the energy reconstructed using the profile-

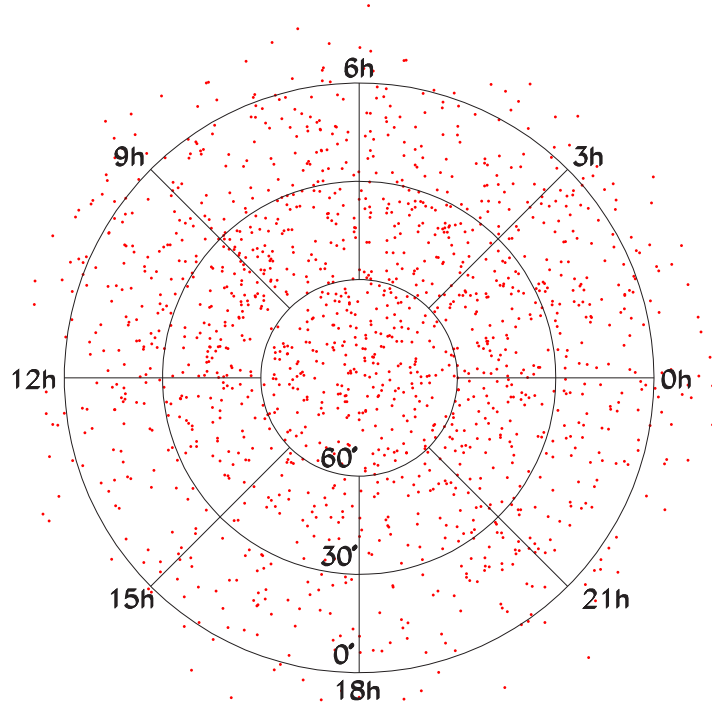


Figure 3.1: The central coordinates of events in the HiRes-I monocular data set: 1,525 events with energies exceeding $10^{18.5}$ eV. This plot is a polar projection in equatorial coordinates.

constrained fit implemented by HiRes-I, there is good agreement, as seen in Figure 3.3. When the distance to the shower core [19] and zenith angle [20] distributions are calculated using Monte Carlo generated data (see Chapter 4), there is also good agreement, shown in Figures 3.4 and 3.5. This indicates that a profile-constrained fit is a good approximation, particularly at high energies.

Although the profile-constrained fit technique may be employed to reconstruct monocular events, a residual effect of the monocular reconstruction is orientation-dependent (elliptical) uncertainties in the airshower arrival directions. In Figure 3.6, the airshower reconstruction geometry is illustrated for a monocular air fluorescence detector. In this view, the shower-detector plane (SDP) for HiRes-I events is well-reconstructed, with angular uncertainty pa-

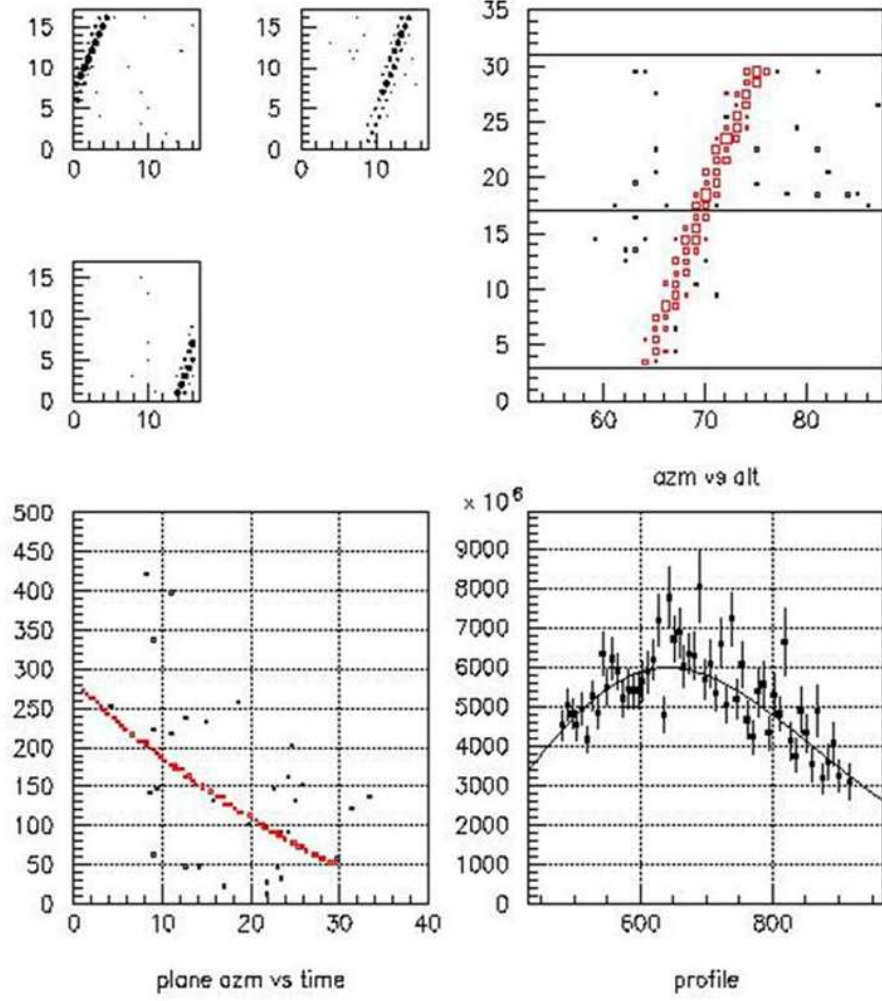


Figure 3.2: Diagrams of event reconstruction. A single event is shown triggering photomultiplier tubes in several arrays (upper left) and is reconstructed in altitude vs. azimuth (upper right). Time vs. azimuth is shown in the bottom left and intensity vs. penetration depth is shown on the bottom right.

parameterized as

$$\sigma_{SDP} = 88.151^\circ e^{-\chi/1.96} + 0.374^\circ \quad (3.1)$$

where χ is the angular track length of the shower in degrees. Typical values of σ_{SDP} for this analysis range from $0.3^\circ \rightarrow 1.9^\circ$ (Figure 3.7). Less well-reconstructed is the angle Ψ of the track within the SDP, which we parameterize by

$$\sigma_\Psi = 18.381^\circ e^{-\log_{10}(E/.691)} + 4.073^\circ \quad (3.2)$$

where the energy E is expressed in EeV (10^{18} eV). σ_Ψ takes values from $5^\circ \rightarrow 14^\circ$ (Figure 3.8).

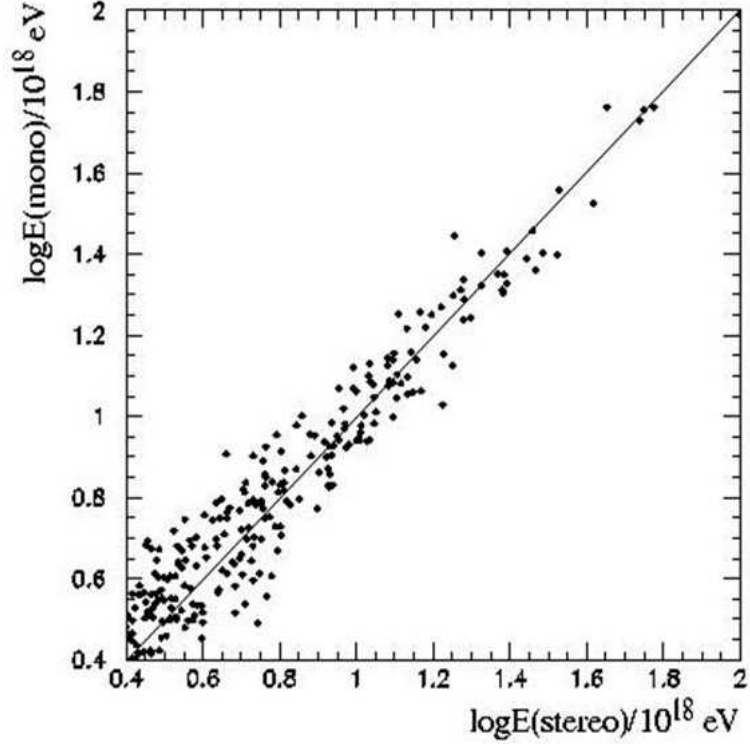


Figure 3.3: The energies of events reconstructed using monocular and stereo techniques separately.

Ψ is not as well-reconstructed because of our monocular vision, which experiences more error in any measurement requiring depth perception. Uncertainties in σ_{SDP} and σ_ψ are approximately 7.5% [23]. A few events with their “error ellipses” are shown in Figure 3.9.

Density Skymaps

In Figure 3.10, we plot the skymap formed from the arrival direction of events in the present dataset. Each event’s “error ellipse” is represented by generating 1,000 points per event, distributed according to the Gaussian error model of Equations 3.1 and 3.2. Note the bin size in this plot and in the subsequent analysis is chosen to be constant in the Cartesian projection of this polar coordinate system, and approximately $1^\circ \times 1^\circ$.

We next discuss the Monte Carlo technique by which we evaluate the significance of fluctuations in the skymap as well as our sensitivity to pointlike behavior in arrival direction.

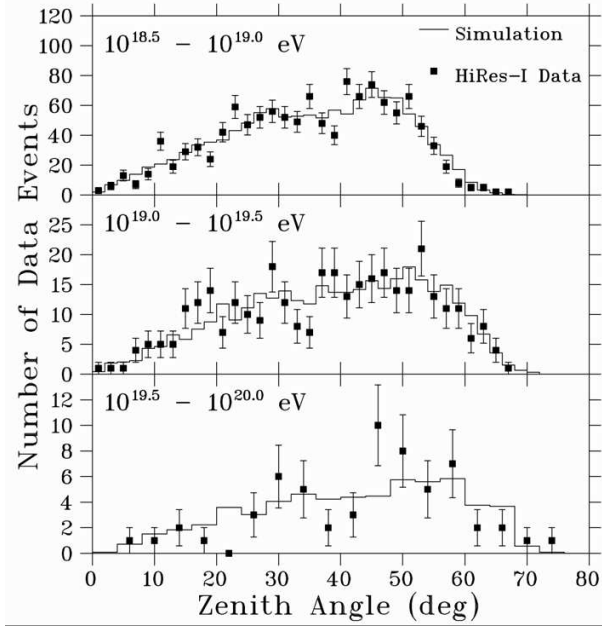


Figure 3.4: Comparison of HiRes-I data (points) and Monte Carlo (solid histogram) distributions in zenith angles (degrees).

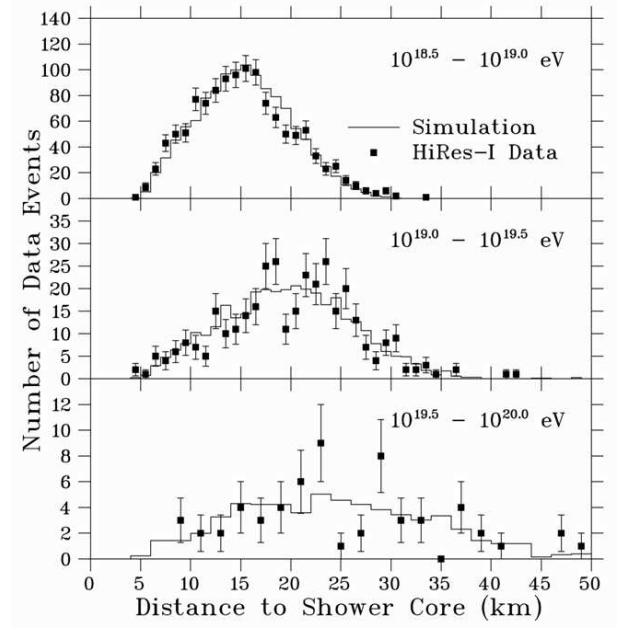


Figure 3.5: Comparison of HiRes-I data (points) and Monte Carlo (solid histogram) distributions in distance to shower core (km).

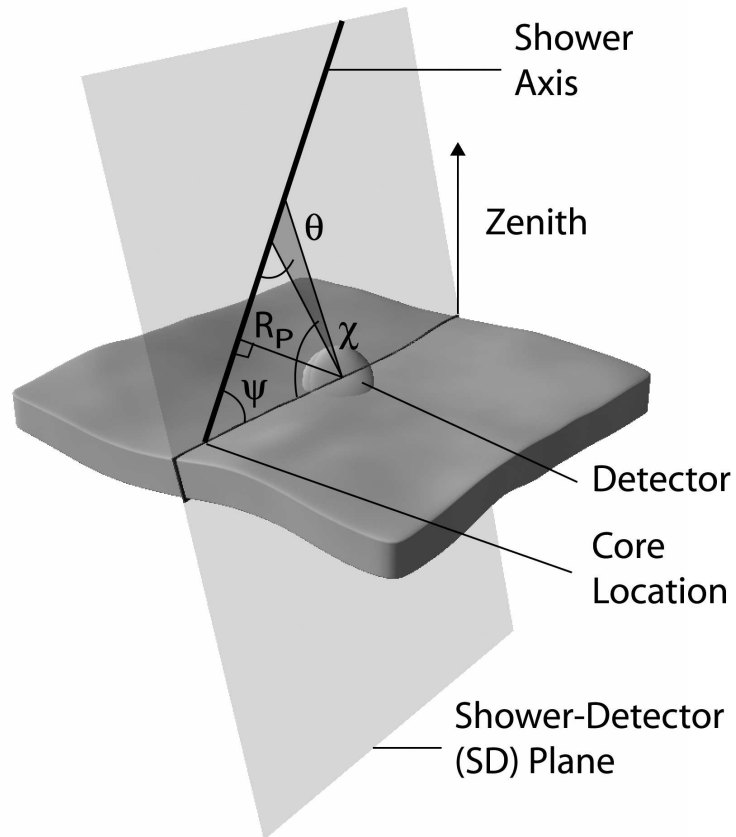


Figure 3.6: The geometry of reconstruction for a monocular air fluorescence detector.

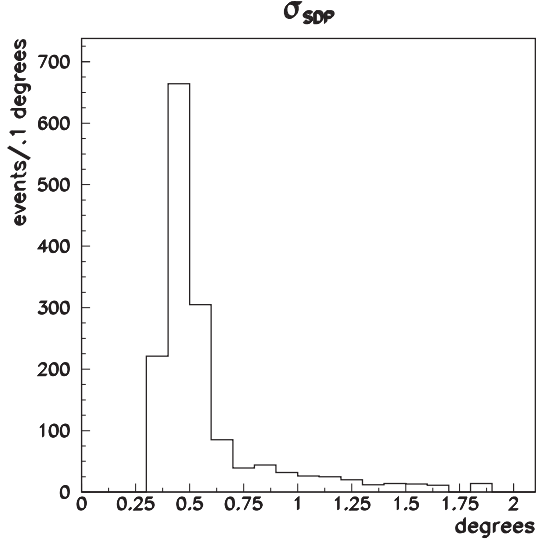


Figure 3.7: Distribution of σ_{SDP} for the HiRes-I monocular data set

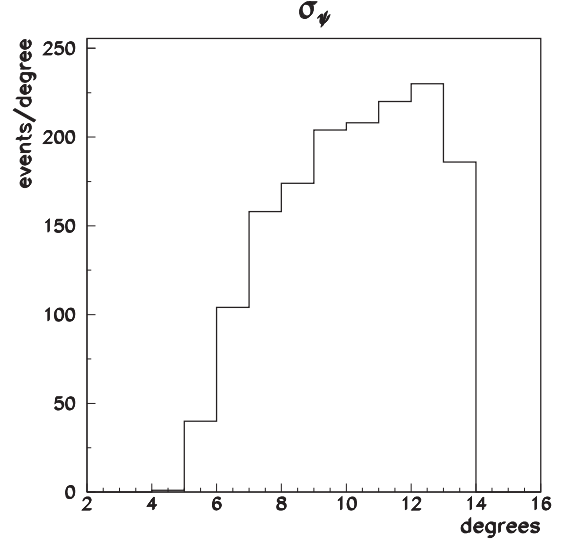


Figure 3.8: Distribution of σ_{Ψ} for the HiRes-I monocular data set

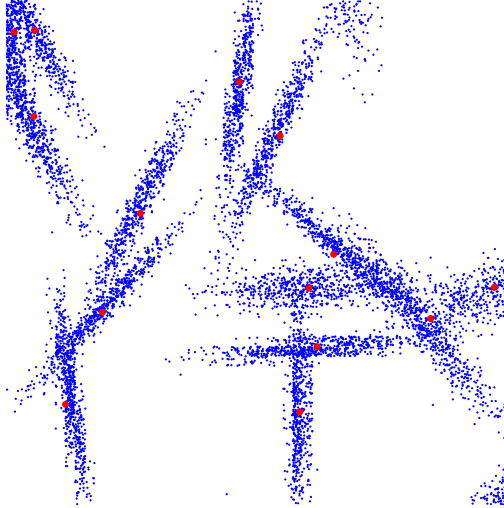


Figure 3.9: “Error ellipses” of a few sample points. Red dots are the coordinates of the central point and are surrounded in blue by their respective ellipses. Note the plot is for a small region of space and the density of events shown is much lower than normal for graphical purposes.

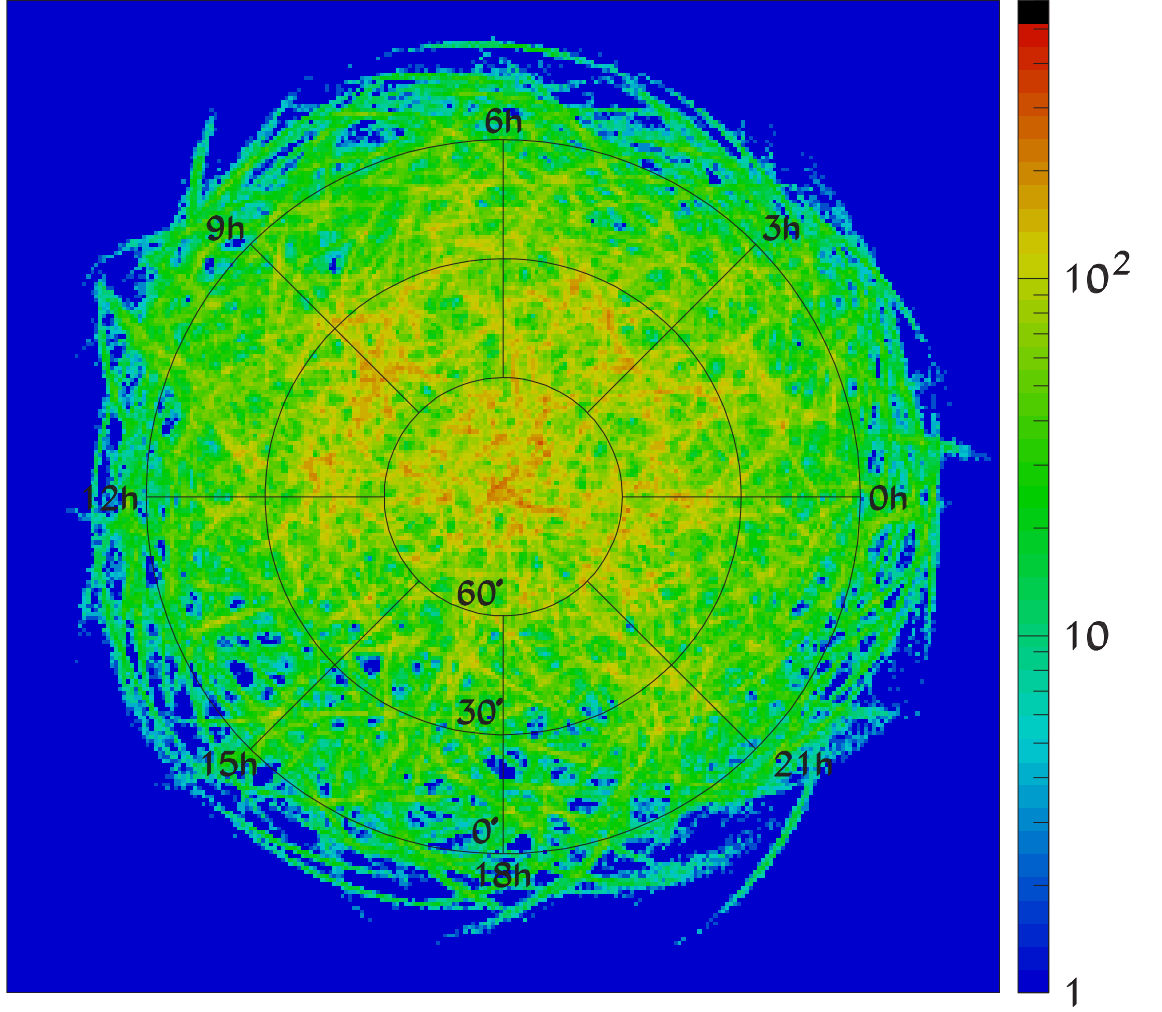


Figure 3.10: Skymap of arrival directions of events in the the HiRes-I monocular dataset, plotted in polar projection, equatorial coordinates. Each HiRes event is represented by 1,000 points randomly thrown according to the elliptical Gaussian error model of Equations 3.1 and 3.2. The bin size in this plot (and all similar plots) is approximately $1^\circ \times 1^\circ$.

CHAPTER 4

ISOTROPIC BACKGROUND, COMPARISON TO REAL DATA, SIMULATION OF POINT-LIKE SOURCES

To identify a point-like source in Figure 3.10, we must first understand the statistical fluctuations that might mimic a point-like source in an isotropic sky. We study these fluctuations by using Monte Carlo techniques to create isotropic “data sets” with the same detector exposure as the HiRes-I monocular data set. Then, we use similar Monte Carlo techniques to simulate data sets containing pointlike sources, to arrive at a set of criteria by which we may confidently identify true sources with a small likelihood of obtaining false positives from background fluctuations.

The Monte Carlo Generated Isotropic Background

To create isotropic background data sets, we first determine the energy of the incident particle by using the exposure corrected energy spectrum observed by the Fly’s Eye stereo experiment. By using the Fly’s Eye energy spectrum, we assume the energy spectrum is the same at all positions in the sky – thus, we assume an isotropic distribution for events possessing the spectrum and composition reported by the stereo Fly’s Eye experiment [27, 28]. The arrival directions of these events are then simulated at all possible viewing angles. Showers which pass the initial cuts and profile-constrained fit discussed in Chapter 3 will possess the same aperture in both energy and the viewing angles of HiRes-I. We then use a database of detector on-times, given by the timing of events in the real data, to calculate the time of arrival of the Monte Carlo events. Mirrors in the ring will sometimes require repairs and be shut off, so we exclude any events whose arrival direction would only be successfully reconstructed by a non-functioning mirror at the time.

A total of 1,000 isotropic data sets with the same exposure and number of events as the HiRes-I monocular data were generated for comparison studies. Further discussion of this Monte Carlo can be found in the References [20, 29]. Comparison of the real data to our isotropic background in zenith angle, Figure 3.4, and distance to the shower core, Figure 3.5,

show good agreement, indicating successful simulation of an isotropic background. In Figures 4.1 and 4.2 we compare the data and Monte Carlo distributions of events in the variables RA and DEC, respectively. Ground arrays typically show an even exposure in RA, but we do not because our nitrogen fluorescence detector operates only at night. Winter nights at our latitude (40.2°) are measurably longer than summer nights. At midnight on the summer solstice, 17.5 hrs RA is at zenith (approximately), while 5.5 hrs RA is at zenith during the winter solstice.

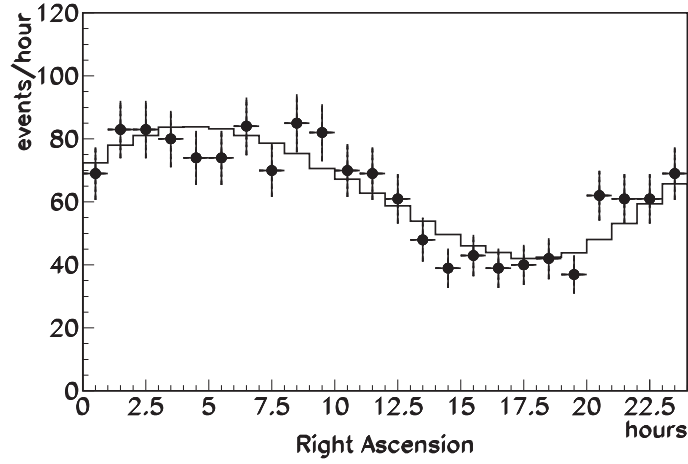


Figure 4.1: Comparison of HiRes-I data (points) and Monte Carlo (solid histogram) distributions in right ascension (RA).

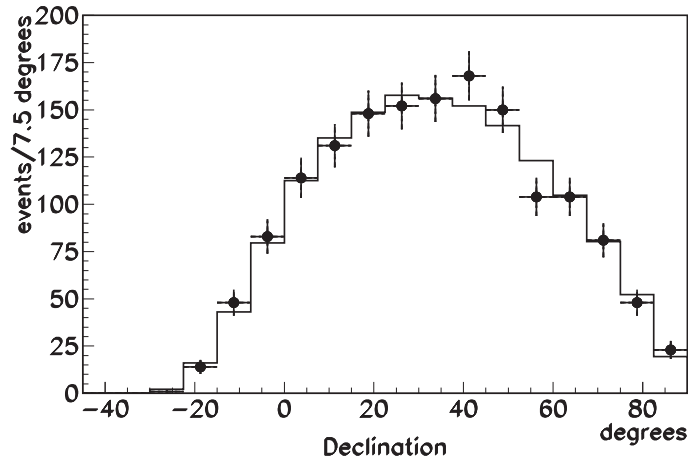


Figure 4.2: Comparison of HiRes-I data (points) and Monte Carlo (solid histogram) distributions in declination (DEC).

Isotropic Background Corrected Skymaps

In order to understand the significance of the fluctuations in Figure 3.10, we compare the data on a bin-by-bin basis to the 1,000 simulated datasets. Defining N_{DATA} as the bin density of the data, N_{MC} as the bin density of the simulated isotropic datasets, and N as the number of simulated isotropic datasets (1,000), the variable

$$\chi_1 = \frac{(N_{DATA} - \langle N_{MC} \rangle)}{\sigma_{MC}} \quad (4.1)$$

provides a measure of the fluctuation per bin, where σ_{MC} is the standard deviation of the Monte Carlo bin density

$$\sigma_{MC} = \sqrt{\frac{1}{N-1} \sum_{i=1}^N (N_{MC,i} - \langle N_{MC} \rangle)^2} \quad (4.2)$$

(Figure 4.3). $\langle N_{MC} \rangle$, Figure 4.4, is the average bin density of the simulated isotropic datasets and graphically demonstrates HiRes exposure as a function of position.

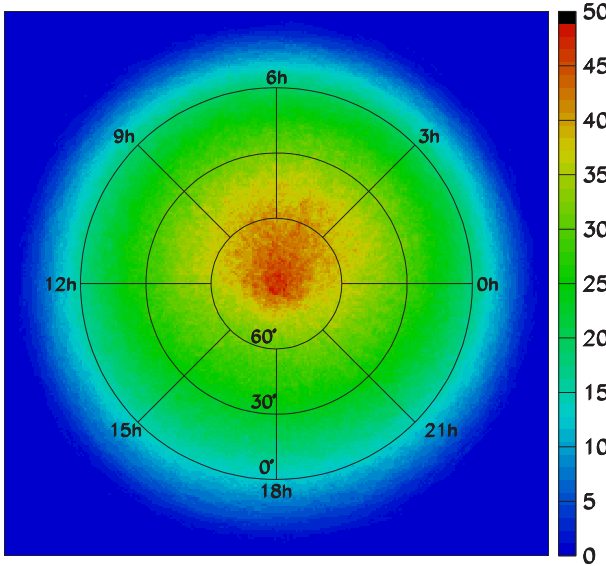


Figure 4.3: σ_{MC} (Equation 4.2) distribution, the standard deviation of the Monte Carlo bin density.

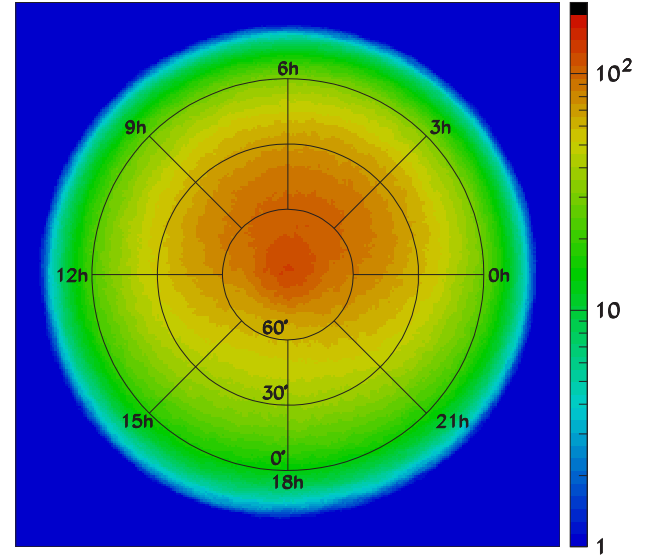


Figure 4.4: $\langle N_{MC} \rangle$ distribution graphically demonstrates the effects of summer/winter nights on our exposure.

Figure 4.5 shows the distribution of χ_1 as a function of position in the sky for the HiRes-I monocular dataset as extracted from this technique. The bin-by-bin distributions of χ_1 are non-Gaussian (Figure 4.6) in a manner that varies as a function of position in the sky. Thus it is necessary to develop a technique to evaluate the significance of possible sources. Our technique uses the χ_1 information in neighboring bins to pick out significant fluctuations above background from the skymap. The parameters in the technique are tuned on simulated pointlike sources.

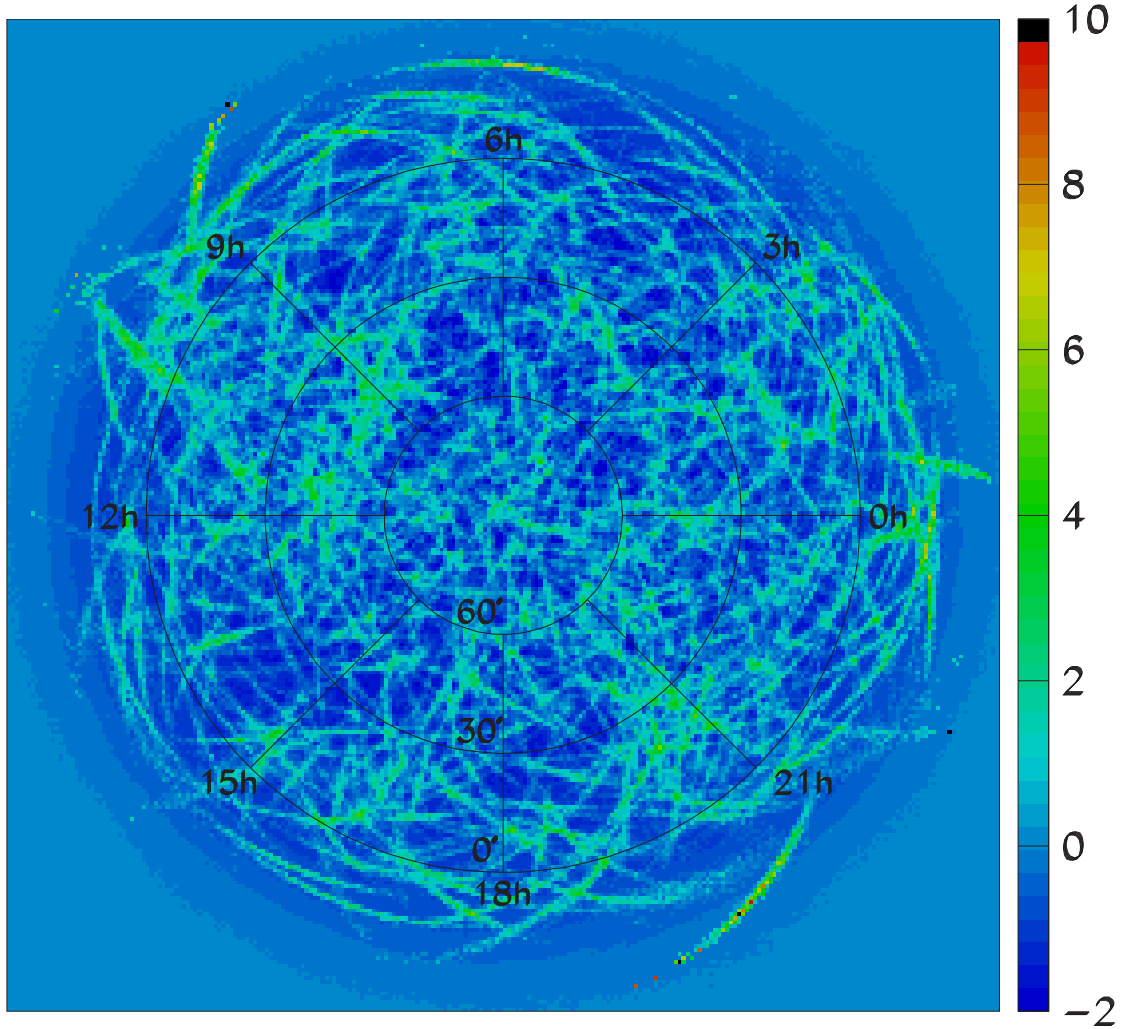


Figure 4.5: χ_1 (Equation 4.1) distribution for the HiRes-I monocular data set. This plot is (Figure 3.10 - Figure 4.4)/Figure 4.3.

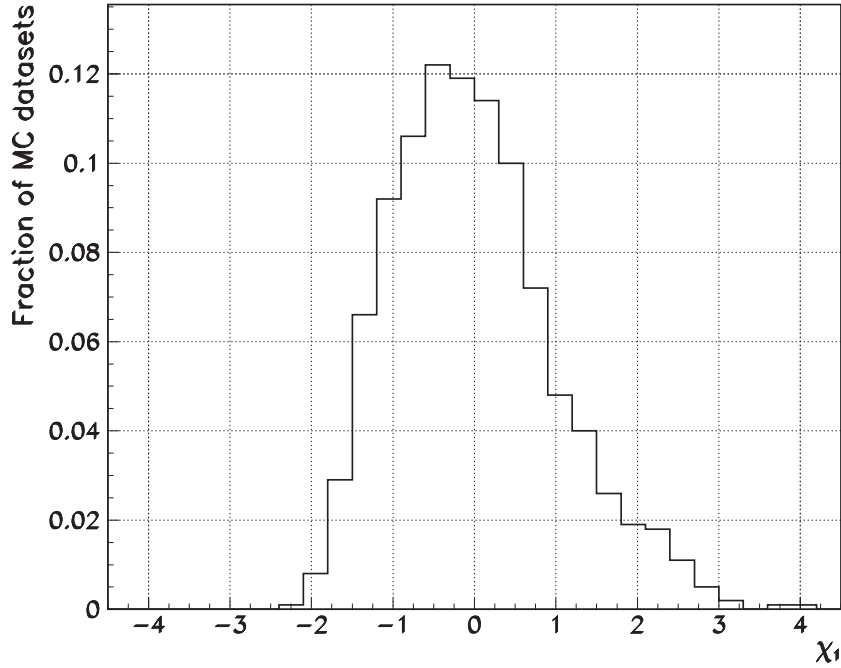


Figure 4.6: Example distribution of χ_1 (Equation 4.1) values for 1,000 MC datasets in the bin located at 5 hours RA, 40° DEC.

Monte Carlo Simulation of Point-Like Sources

We have two objectives in simulating pointlike sources; the first is using these simulated sources to tune point source selection criteria. Secondly, simulated sources provide a straightforward method by which to quantify our sensitivity to point-sources and impose flux upper limits.

Simulated source skymaps are created by randomly replacing events in a simulated isotropic dataset with N_S events at the chosen position for the source. The central-value coordinates of the simulated source event are randomly shifted according to the error ellipse, which is taken from the replaced event. The shift simulates the effect of detector resolution only. Finally, the orientations of error ellipses are randomized.

An example of a simulated source is shown in Figure 4.7. This source is superimposed on a Monte Carlo dataset in Figure 4.8, and the quantity χ_1 (Equation 4.1) is evaluated for each bin in Figure 4.9.

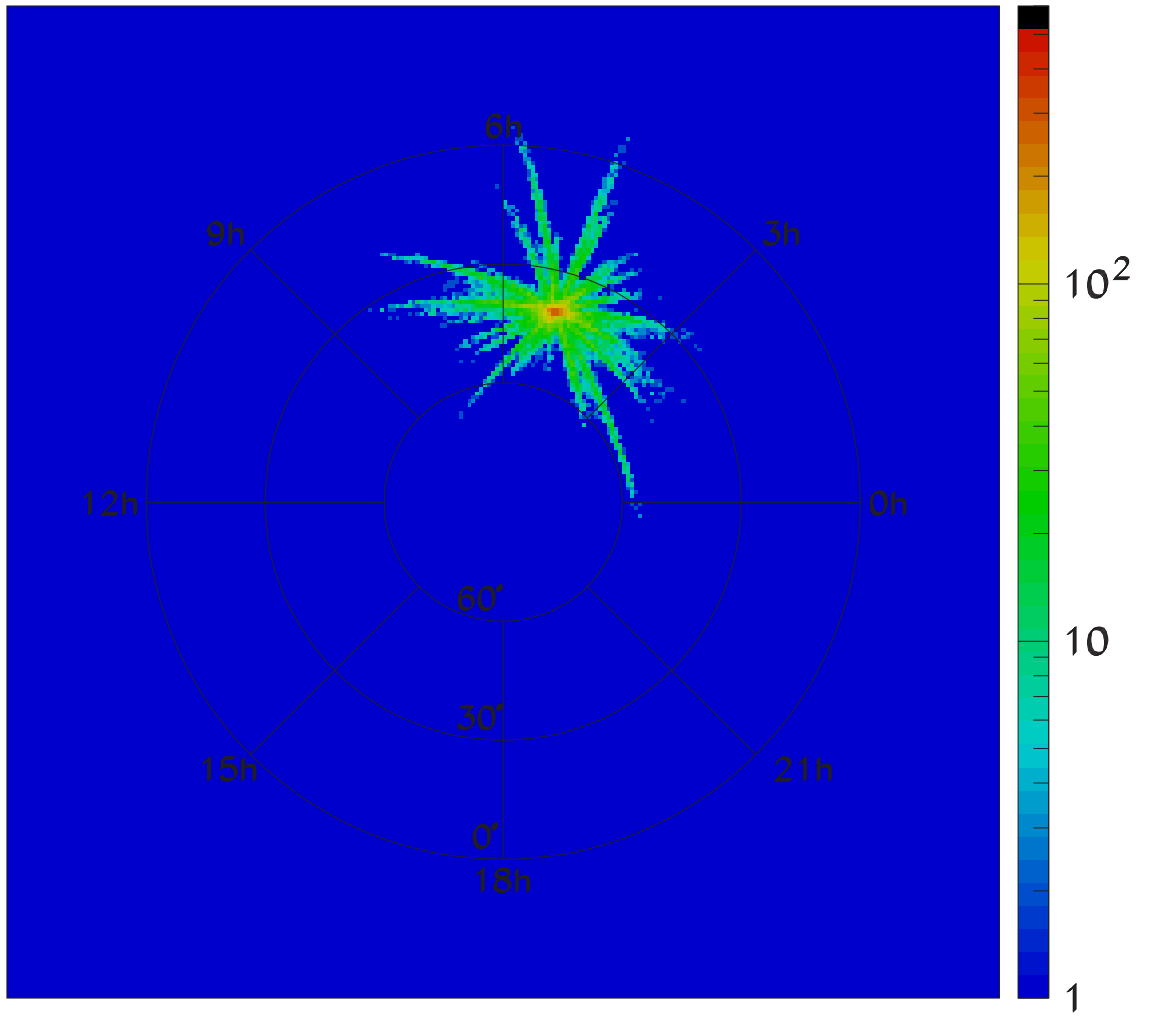


Figure 4.7: Skymap of example source without an isotropic background. The source contains $N_S = 25$ events and has been inserted at 5 hours RA, 40° DEC.

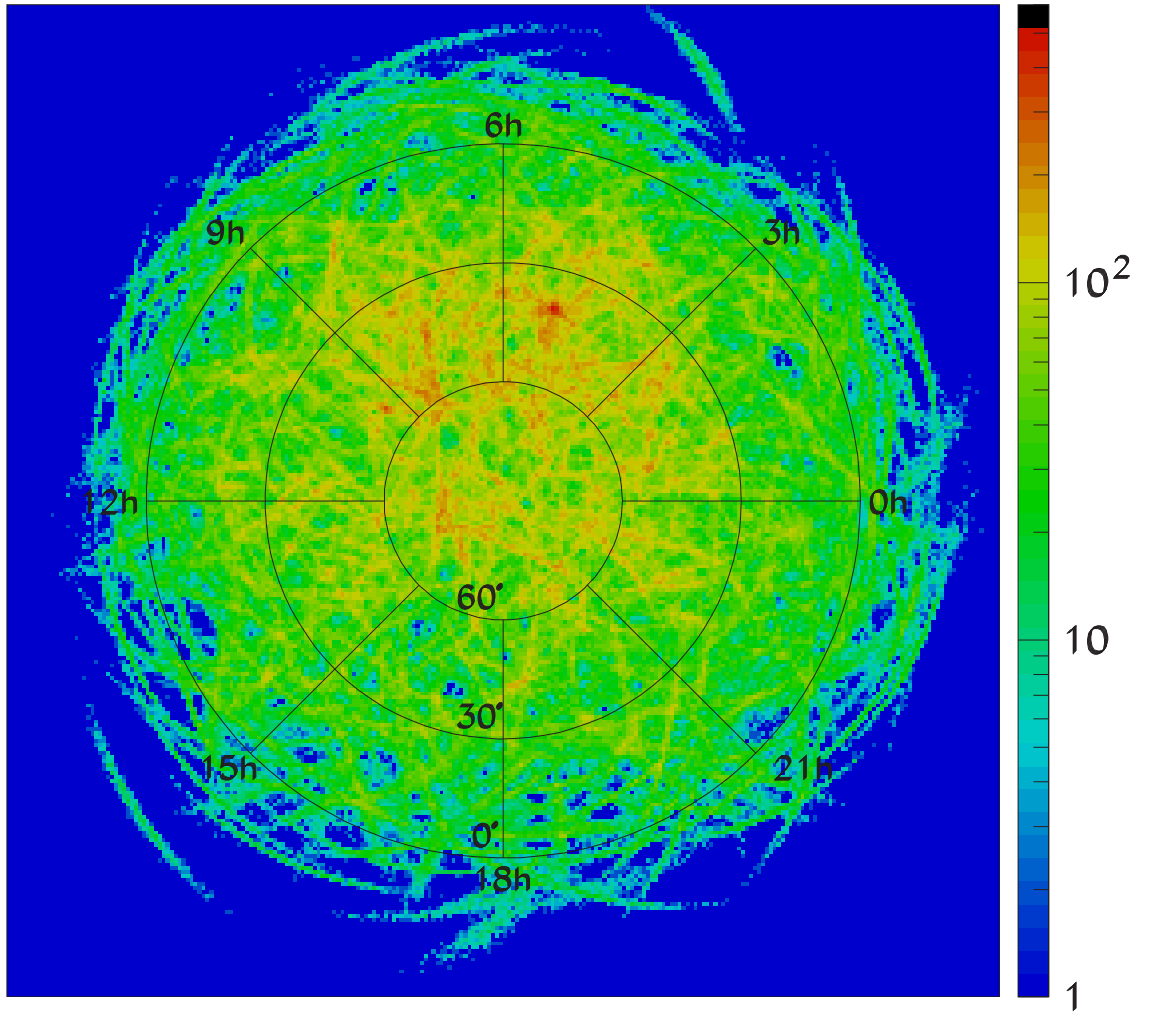


Figure 4.8: Skymap of arrival directions of events for a Monte Carlo dataset, having the same overall exposure as the HiRes-I monocular dataset, with a 25 event source superimposed at 5 hours RA, 40° DEC.

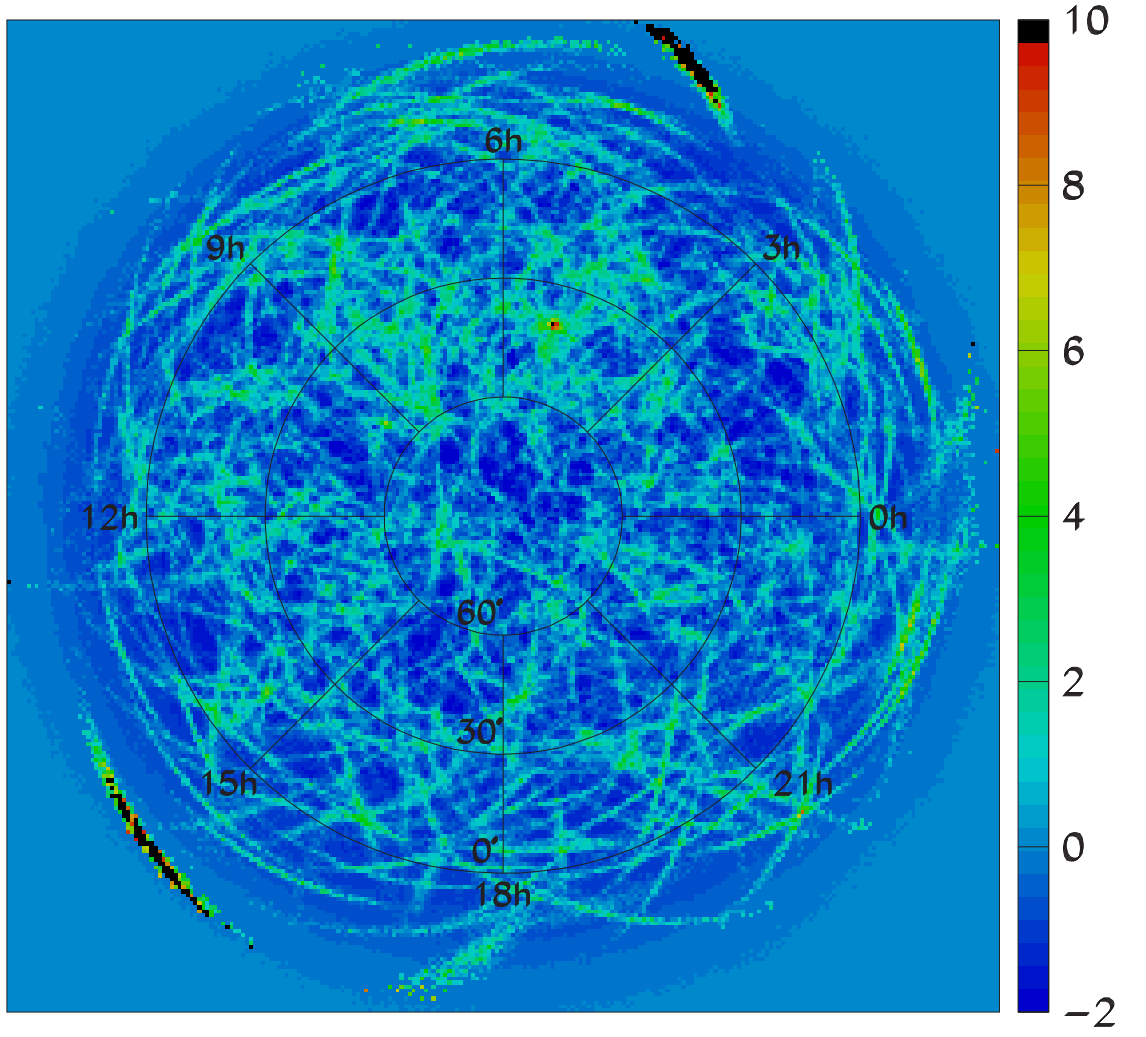


Figure 4.9: χ_1 (Equation 4.1) for $N_S = 25$ event source inserted in a simulated isotropic dataset. The source has been inserted at 5 hours RA, 40° DEC.

CHAPTER 5

CRITERIA FOR DECLARATION OF A POINT SOURCE

We now describe a procedure by which we can identify pointlike behavior in arrival direction (for example, the simulated source of Figures 4.7, 4.8, and 4.9) while simultaneously rejecting false positives arising from fluctuations of the background.

Due to detector resolution, it is desirable that we search for sources by considering points over an extended angular region. We consider a “search circle” of radius R , where R is expressed as an angle in degrees. Within the search circle, we count the fraction of bins F having a χ_1 value greater than some threshold χ_{THR} . The parameters R and χ_{THR} are chosen to optimize the signal size, and a cut is chosen on the fraction F which reduces the probability of false positives to an acceptable level.

Our maximum sensitivity to pointlike behavior in arrival direction, given the HiRes-I pointing uncertainty, has been determined empirically to require a search circle of $R = 2.5^\circ$, and a value $\chi_{THR} = 4$. (In the case in which the bin densities are normally distributed, this corresponds to 4 Gaussian σ ’s.) The optimum values for these parameters were determined by simulating sources at various locations in the sky and maximizing our sensitivity to these sources. The values are found to be largely insensitive to the position in the sky and the number of events in the source. Additionally, small variations in either of these parameters do not have a significant impact on our results.

Due to low statistics at the edge of HiRes’ acceptance, we consider only search circles with centers at declinations greater than 0° . That is, we only search for sources north of the celestial equator. Approximately 10% of HiRes events are south of the equator, however these events can contribute to the search if their error ellipses extend north of $DEC = -2.5^\circ$.

In Figure 5.1, we have plotted for each bin the fraction F , for $R = 2.5^\circ$ and $\chi_{THR} = 4$, of the simulated point source of Figure 4.8. The simulated source stands out clearly in this figure.

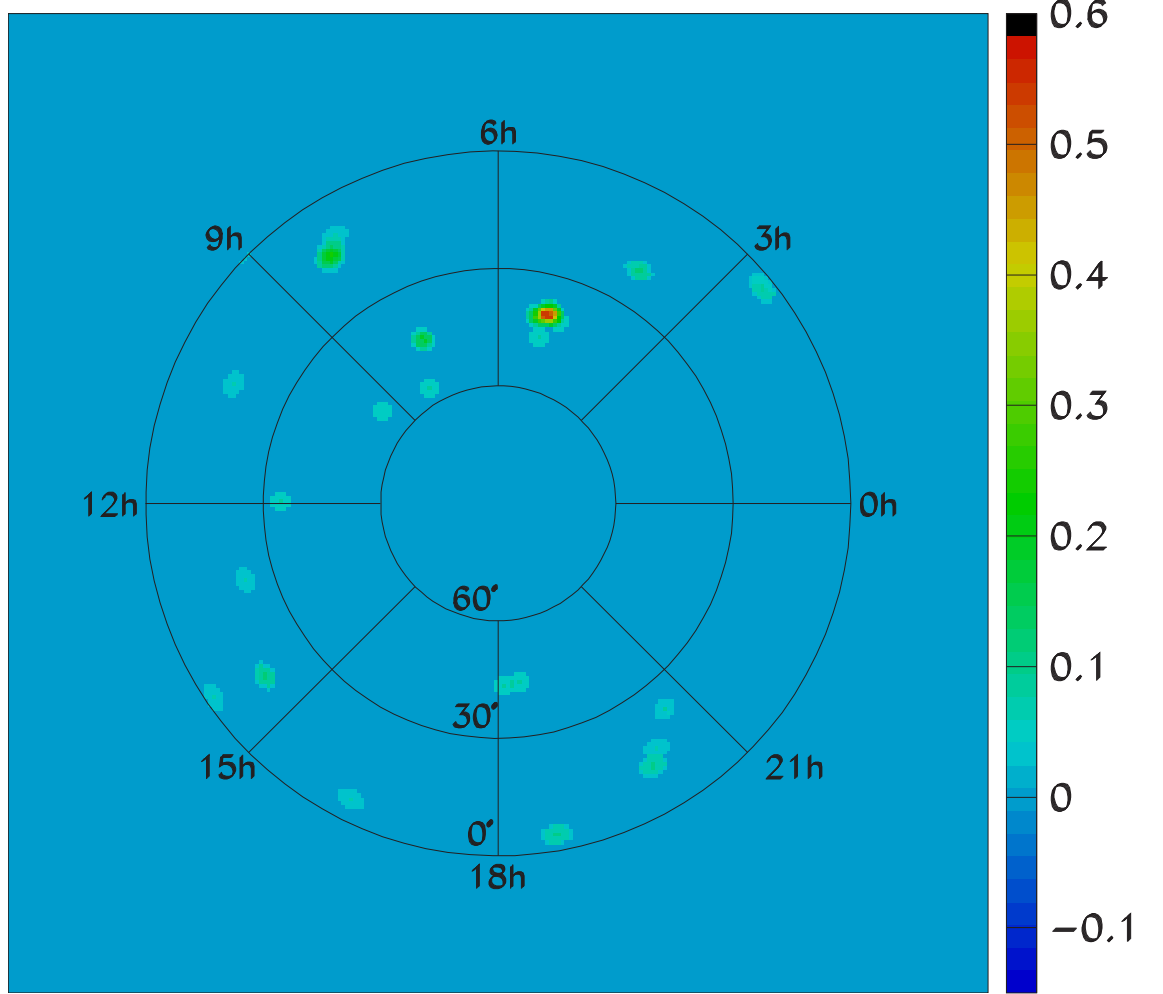


Figure 5.1: F distribution derived from the χ_1 map of the example source shown in Figure 4.9. F is the fraction of bins within radius $R = 2.5^\circ$ having a χ_1 value of 4 or greater. The simulated source at 5 hours RA, 40° DEC clearly stands out as having an exceptionally large excess fraction F .

The final parameter in this search algorithm is the cut placed on the quantity F . We evaluate this cut by requiring that the probability of a simulated isotropic data set — *without* a superimposed simulated source — exceeding the cut be no more than 10% over the entire sky (Figure 5.2). We choose a cut value of $F = 0.33$, corresponding to a false-positive probability of 10%.

Figure 5.3 shows the F distribution for the monocular dataset. The “hottest” spot on this

graph, near $\text{DEC} = 20^\circ$ and $\text{RA} = 20$ hours, has a value $F = 0.15$. 87% of simulated isotropic datasets have $F \geq 0.15$ (see Figure 5.2). We conclude that our observation is consistent with a fluctuation from an isotropic background.

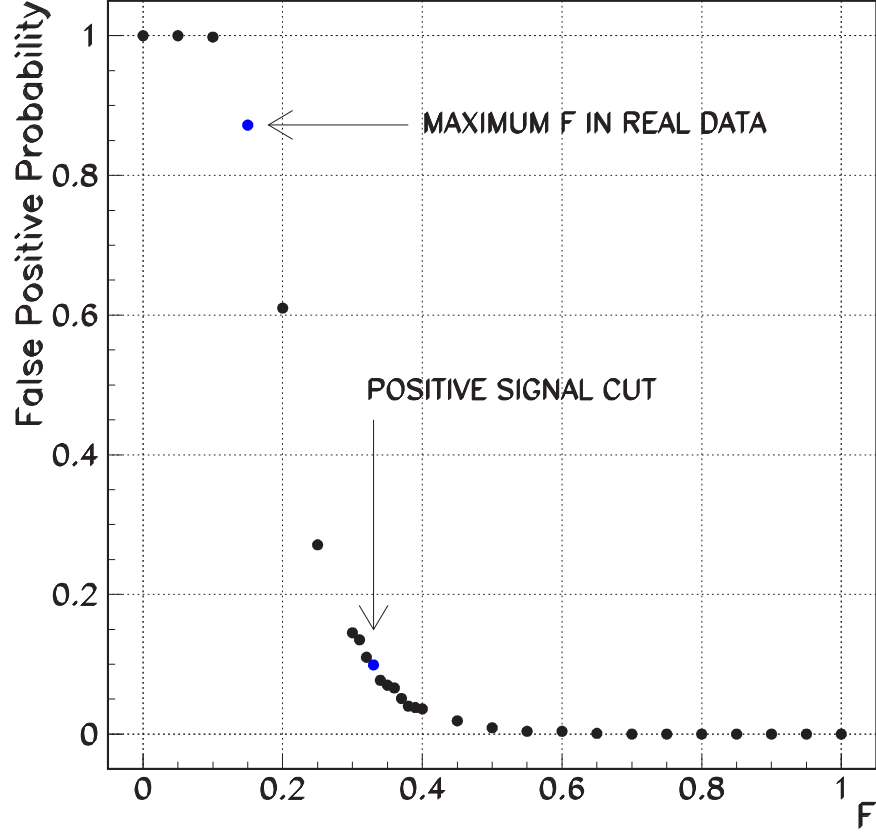


Figure 5.2: Occurrence rate of false positives versus F for a 2.5° search circle and χ_1 threshold of 4. A cut at $F = 0.33$ corresponds to a false-positive probability of 10%. The maximum value of F encountered in the real data, .15, corresponds to a false positive probability of 87%.

Next, we evaluate the corresponding sensitivity and flux upper limits as a function of position in the sky.

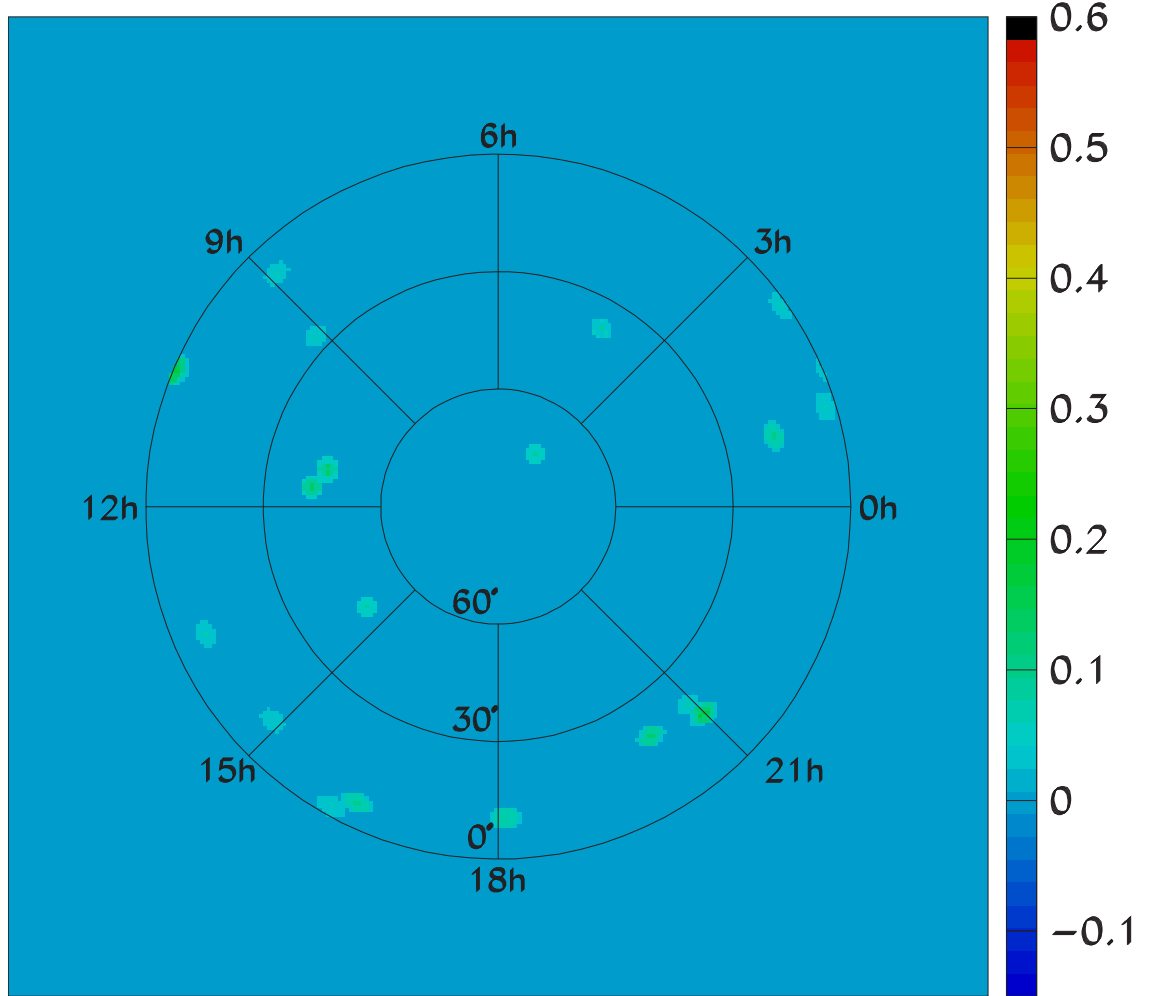


Figure 5.3: F distribution for the HiRes-I monocular data (Figures 3.10 and 4.5). F is the fraction of bins within radius $R = 2.5^\circ$ having a χ_1 value of 4 or greater. No points satisfy our search criteria. The largest F occurs at DEC = 20° and RA = 20 hours and has a value $F = 0.15$, corresponding to a false-positive probability of 87%.

CHAPTER 6

SENSITIVITY, UPPER LIMITS

Having determined that no evidence exists in the HiRes-I monocular dataset for pointlike excesses over isotropy, we now seek to determine our sensitivity and place upper limits on the flux from such sources as a function of position in the sky.

We chose a grid of locations (Table 6.1) distributed evenly across the Northern Hemisphere, with lines of RA approximately corresponding to the HiRes solstices and equinoxes. At each grid point, we generate 400 point like sources superimposed on independent isotropic backgrounds, where each source data set has the same total number of events as the HiRes data. The number of events in each source is distributed in a poisson manner, where the mean value over the 400 sources is termed $\langle N_S \rangle$. $\langle N_S \rangle$ is then varied at each location.

We then determine the percentage of trials at each location for which our reconstruction algorithm “finds” a source of size $\langle N_S \rangle$. The algorithm will “find” a source if it fluctuates above the cut on F , where the numerical cut value is dependent on whether we’re calculating sensitivities or upper limits. The value of $\langle N_S \rangle$ for which signal was declared for 90% or better of the trials was termed N_F , where F corresponds to the cut value on F . Sensitivity is given by the value of $N_{.33}$ that satisfies the criteria for positive signal defined in Chapter 5 ($\chi_1 > 4, r \leq 2.5^\circ, F > .33$). If a source of size $N_{.33}$ existed in the real data, our probability of declaring positive signal would be 90%, yielding the 90% c.l. sensitivity of our experiment.

However, since the real data never fluctuated above $F = .33$, we calculate the size of source that yields the F measured in the real data to determine our upper limit. We calculate source size upper limits by setting our cut on F to the highest value that occurred in the real data, $F = .15$. $N_{.15}$ is the value of $\langle N_S \rangle$ for which signal was declared for $F > .15$ in 90% or better of the trials. $N_{.15}$ gives the upper limit because a signal of size $N_{.15}$ was not measured in the real data. With 90% certainty, experiments identical to HiRes-I will not measure a source of size greater than this upper limit. We use the maximum value of $F = .15$ for all the grid points instead of the value in the real data at each grid point because we want an upper limit that can be smoothly interpolated between grid points. This results in a higher upper limit

than what could be calculated for locations in the sky where F is significantly less than .15 in the real data. The systematic uncertainty in the calculation of N_F , due to uncertainties in the error ellipses, is ≤ 1 event.

To calculate the detector exposure [29] for point sources at the grid points, Monte Carlo events are generated at the grid points, assigned a time from the distribution of HiRes detector ontimes, and projected towards the detector aperture. Local coordinates and times are determined, then the event is paired with a shower from the Monte Carlo event library having similar local coordinates. An attempt is then made to reconstruct the Monte Carlo event with the profile-constrained fitting technique. The exposure, defined as the fraction of events reconstructed multiplied by the detector aperture (area) and time, can then be used to determine sensitivity and flux upper limits for each of the grid locations. These exposures are listed in Table 6.1. Finally, 90% confidence level flux sensitivities and upper limits are simply N_F divided by the exposure at each grid point.

Sensitivity

The distribution of $N_{.33}$ at the grid points are illustrated in Figure 6.1. 90% confidence level flux sensitivities are $N_{.33}$ divided by the exposure (Table 6.1 and Figure 6.2).

Upper Limits

The distribution of $N_{.15}$ at the grid points are shown in Figure 6.3. These results allow us to rule out the existence of pointlike sources of strength $N_{.15}$ at the grid locations with 90% confidence level. Flux upper limits are $N_{.15}$ divided by the exposure (Table 6.1 and Figure 6.4).

The largest flux upper limit across the entire sky is 0.8 events/km²yr. Thus, we rule out the existence of pointlike behavior in arrival direction of cosmic rays of energy above $10^{18.5}$ eV with flux greater than 0.8 events/km²yr at 90% confidence level and place more stringent limits as a function of position in Figure 6.4.

Finally, Cygnus X-3 (RA 20.5 hours, DEC 40.7°) is very near the grid point at RA 20.5 hours, DEC 45°, allowing us to place a flux upper limit from Cygnus X-3 of 0.5 events/km²yr with 90% confidence.

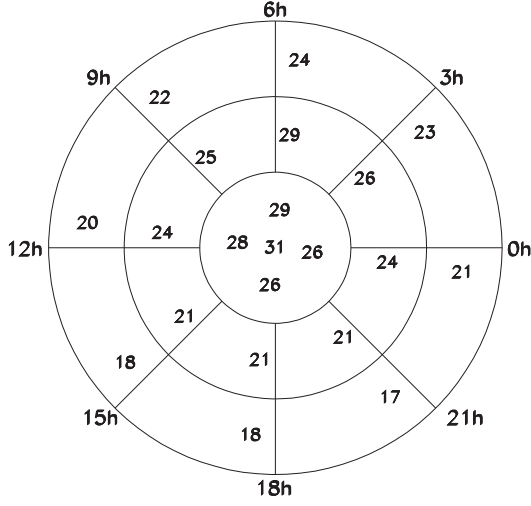


Figure 6.1: Numerical values of N_{33} (events) at 21 grid points in the Northern Hemisphere.

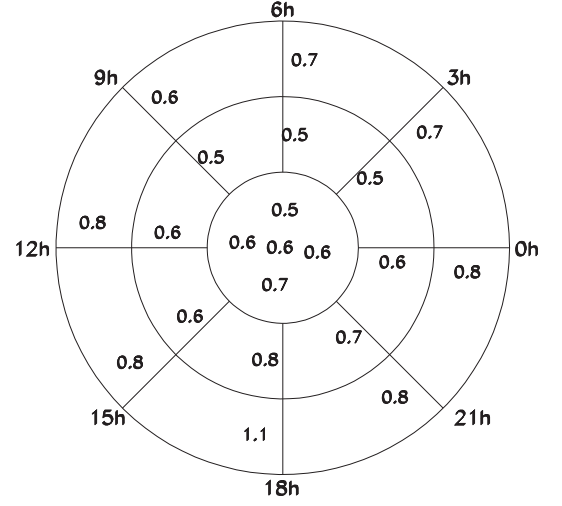


Figure 6.2: Flux sensitivity (events/km²/yr) at 21 grid points in the Northern Hemisphere.

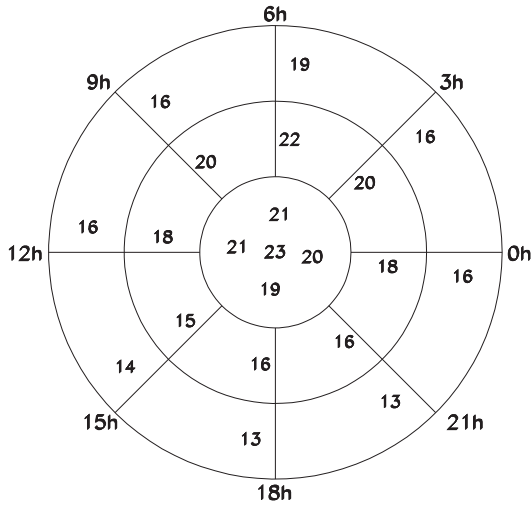


Figure 6.3: Numerical values of N_{15} (events) at 21 grid points in the Northern Hemisphere.

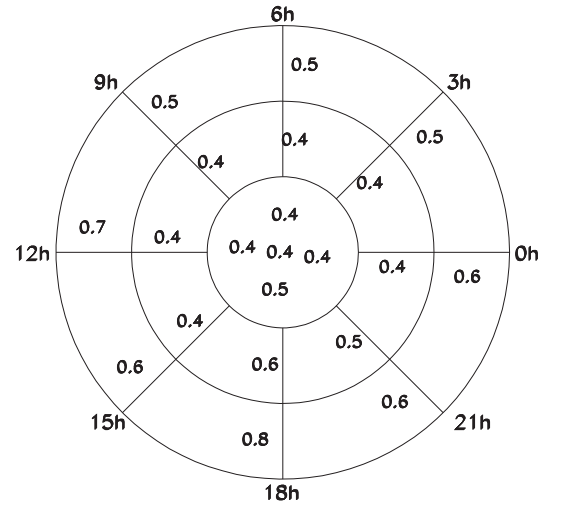


Figure 6.4: Flux upper limit (events/km²/yr) at 21 grid points in the Northern Hemisphere.

DEC (deg)	RA (hours)	$N_{.33}$	$N_{.15}$	Exposure (km^2yr)	Sensitivity ($events/km^2yr$)	Upper Limit ($events/km^2yr$)
15°	2.5 hrs	23	16	34.2	.7	.5
	5.5 hrs	24	19	36.6	.7	.5
	8.5 hrs	22	16	34.3	.6	.5
	11.5 hrs	20	16	24.5	.8	.7
	14.5 hrs	18	14	21.9	.8	.6
	17.5 hrs	18	13	16.7	1.1	.8
	20.5 hrs	17	13	21.1	.8	.6
	23.5 hrs	21	16	26.7	.8	.6
45°	2.5 hrs	26	20	49.7	.5	.4
	5.5 hrs	29	22	56.6	.5	.4
	8.5 hrs	25	20	48.5	.5	.4
	11.5 hrs	24	18	41.2	.6	.4
	14.5 hrs	21	15	33.5	.6	.4
	17.5 hrs	21	16	24.9	.8	.6
	20.5 hrs	21	16	30.3	.7	.5
	23.5 hrs	24	18	41.5	.6	.4
75°	5.5 hrs	29	21	59.8	.5	.4
	11.5 hrs	28	21	50.5	.6	.4
	17.5 hrs	26	19	38.6	.7	.5
	23.5 hrs	26	20	47.2	.6	.4
90°	N/A	31	23	53.8	.6	.4

Table 6.1: Locations of grid points for flux upper limits, with signal strength $N_{.33}$ and $N_{.15}$, exposures (with uncertainty 5% from Monte Carlo statistics), calculated sensitivity, and flux limits.

CHAPTER 7

CONCLUSIONS

We have conducted a search for pointlike excesses in the arrival direction of ultra-high energy cosmic rays with energy exceeding $10^{18.5}$ eV in the northern hemisphere. We place an upper limit of 0.8 events/(km² yr) (90% c.l.) on the flux from such sources across the entire sky and place more stringent limits as a function of position. We also determine sensitivity as a function of position in the sky, and place an upper limit on the flux from the *a priori* chosen direction of the x-ray binary Cygnus X-3 of 0.5 events/(km² yr) (90% c.l.). The HiRes-I monocular data is thus consistent with the null hypothesis for pointlike excesses of neutral primary cosmic rays in this energy range.

Additional Capabilities of this Analysis Technique

The technique of simulating sources, tuning selection criteria on these sources, and determination of sensitivity and upper limits given a null result can be adapted for multiple analyses: 1) a focused search for a pointlike excess in a limited region of the sky, 2) a search for small extended sources, and 3) a search for charged events originating from a pointlike source.

Search for Pointlike Excess in a Small Region

There are numerous high energy astrophysical objects that could be potential point sources of neutrally charged UHECR, such as Cygnus X-3, M87, or Centaurus A. The region of the AGASA “triplet” is also of interest. A simple extension of this analysis would be to perform the same study around one or more chosen regions. This is perhaps the most powerful and easiest adaptation of the technique outlined in this thesis. The technique for generating point sources would be the same. R and χ_{THR} could be tuned to maximize sensitivity to generated pointlike sources in that region. Over a smaller region, there is a substantial decrease in false positive probability for given cuts on F , therefore, sensitivity levels could be dramatically increased. The technique would require simulation of substantially more Monte Carlo isotropic data sets

so distributions in F are smooth. Early attempts yielded plots of false positive probability vs. F (similar to Figure 5.2) with “steps” in the plot - indicating a need for more isotropic data sets and finer angular resolution. Unfortunately, this focused search can be applied only to a limited number of potential sources because a heavy statistical penalty is accrued by searching for too many. However, this extension could provide a powerful way to confirm or refute the most debated point-like sources.

Search for Small Extended Sources

Another relatively easy search would be for small extended sources. There are a number of astrophysical objects of interest which subtend a measurable, but small, angle in the sky. Andromeda, the nearest galaxy to us, subtends approximately $3^\circ \times 1^\circ$ in our sky. The galactic core has many interesting features at various angular scales; they subtend the sky anywhere from $1^\circ \times 1^\circ$ (often referred to as the galactic nucleus) to $10^\circ \times 10^\circ$. The most energetic nearby galaxy, Centaurus A, subtends roughly $1^\circ \times 1^\circ$. A simple extension to the analysis would be to simulate sources including detector resolution and astrophysical “smearing,” where the “smearing” factor is due to the physical size of the object as it appears in our sky. This analysis should be performed in a specific region because the amount of “smearing” one expects will be dependent on the candidate source. By performing the analysis in a particular region, sensitivity would increase from the regionally reduced false-positive probability. Once again, the analysis would be for contributions from neutral primaries only and the same statistical penalty applies when the search is performed in too many regions.

Including Charged Particles from Point Sources

Charged events originating from point sources are thought to experience significant magnetic deflection as they traverse through the galaxy. This deflection could be modelled as a “halo” surrounding the source, perhaps with a neutral contribution from the center. Instead of using one R , the analysis would require an R_{min} and R_{max} for the “halo” and perhaps an $R_{internal}$ to model neutral particle contributions. Unfortunately, there are many requirements which are hard to fulfill for simulating this type of source. A general class of source candidate would have to be chosen because the magnetic fields internal to the galaxy are substantially

different than those external. General classes could include sources within the galactic core or extragalactic sources positioned such that the distance and angle of traverse through the galaxy is known. It requires specific information about the magnitude of galactic and extragalactic magnetic fields – quantities that are not well agreed upon. Additionally, the analysis would have to be done for a specific particle type as a function of energy because the degree of bending will be highly dependent on the energy of the particle and its composition. It would require a data set substantially larger than HiRes-I monocular data because the number of point-source events would be comparatively small for each energy range, resulting in poor sensitivity in small data sets. For all these reasons, this possible extension to the analysis is the least feasible.

The Future of UHECR Studies

The next two projects in UHECR are the Pierre Auger Observatory (Auger) and the Telescope Array (TA). Auger is an international project hosted through Fermilab and TA is a collaboration primarily between members of the AGASA and HiRes groups. Both experiments are hybrid detectors, utilizing the technologies of ground arrays and fluorescence detection. It is hoped that as hybrid detectors, they will resolve the disparity between AGASA and HiRes energy spectrums.

Auger, based in Argentina, will be the first experiment with significant UHECR statistics in the southern hemisphere, allowing coverage of the other half of our sky. Auger is currently operational (Figure 7.1) and when complete, will boast the largest aperture yet. They project their data set will contain more events than HiRes monocular at 10^{19} eV or more some time during the summer of 2005 [30]. Their detector uses Cerenkov light water tanks to detect showers on the ground which operate at all times and several nitrogen fluorescence detectors looking out at the horizon which operate at night. Multiple fluorescence detectors will allow Auger to have a stereo dataset with better angular resolution than each individual detector. Auger's detectable energy range is around 10^{19} eV and above, allowing them to have excellent resolution of behavior near the GZK feature. Hopefully, Auger will be able to definitively state if the GZK feature is there as theory predicts. However, since Auger does not detect

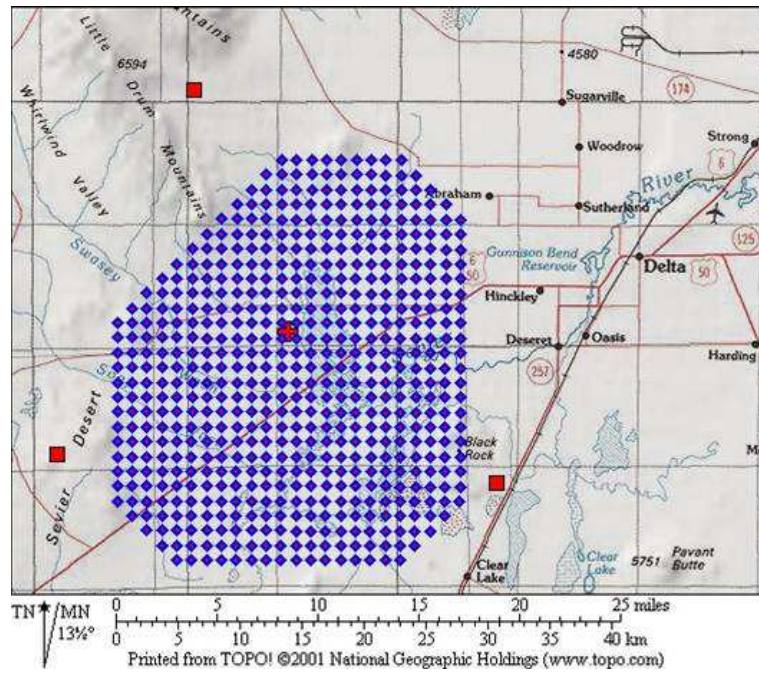


Figure 7.2: Map of the Telescope Array, a proposed hybrid detector. TA uses HiRes fluorescence detectors at high elevation (red squares) and a ground array at lower elevation (blue dots).

Auger plans to eventually construct a northern hemisphere site for full sky coverage. It is possible that TA and Auger may merge to defray costs. Construction on the TA ground array from Japanese grants has begun while funding for the nitrogen fluorescence detectors is being sought from the USA.

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