



Investigation of biologically active metabolites from symbiotic microorganisms
by Andrea Anne Stierle

A thesis submitted in partial fulfillment of the requirements for the degree of Doctor of Philosophy in Chemistry

Montana State University

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Abstract:

The investigation of symbiotic relationships between macroorganisms and microorganisms is a complex, fascinating research endeavor. Throughout the course of this project we explored two such interactions: the relationship between a terrestrial weed and a plant pathogenic fungus, and the relationship between a marine sponge and a gram positive bacterium. Each of these interactions represents a different aspect of symbiosis.

Centaurea maculosa Lam, spotted knapweed, was the focus of a study concerning a parasitic fungus-weed interaction. *Alternaria alternate* was isolated from a black leaf blighted specimen of spotted knapweed and was determined to be the causative organism of the observed plant disease. A series of diketopiperazines were consistently isolated from liquid cultures of *Alternaria alternate*. The most active of these compounds, maculosin, cyclo(L-Pro-L-Tyr), caused black necrotic lesions in a nicked leaf bioassay at 10 μ m. In tests against nineteen plant species, both monocots and dicots, maculosin was phytotoxic only to spotted knapweed. Several other diketopiperazines isolated from the fungus exhibited either reduced phytotoxicity or none at all. This is the first report of a host specific toxin from a weed pathogen.

Additional phytotoxic fractions from the organic soluble extracts of the fungus yielded a series of perylenequinones. Two of these compounds were novel and phytotoxic, and two were known but inactive. The organic extracts also yielded the known toxin tenuazonic acid. All three classes of compounds were compared individually and in combination with respect to phytotoxicity and host specificity.

Not all symbioses are as well-defined as the host-parasite relationship. A *Micrococcus* sp. was consistently isolated from tissues excised from the marine sponge *Tedania ignis*, despite the collection locale, suggesting some form of symbiosis, but not clarifying the degree of interaction. A relationship which we initially considered ambiguous between the sponge and the bacterium evolved into a true case of mutualism. Of particular interest was the isolation of three compounds from the liquid culture of the bacterium that were previously attributed to the sponge. In addition to these three compounds, several aromatic metabolites not usually associated with living organisms were also isolated from the liquid culture, characterized, and tested for biological activity.

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FROM SYMBIOTIC MICROORGANISMS

by

Andrea Anne Stierle

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APPROVAL

of a thesis submitted by
Andrea Anne Stierle

This thesis has been read by each member of the thesis committee and has been found to be satisfactory regarding content, English usage, format, citations, bibliographic style, and consistency, and is ready for submission to the College of Graduate Studies.

23 Aug 88
Date

John M. Ladd
Chairperson, Graduate Committee

Approved for the Major Department

23 Aug 88
Date

Edwin H. Abbott
Head, Major Department

Approved for the College of Graduate Studies

September 16, 1988
Date

Henry J. Parsons
Graduate Dean

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Date

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TABLE OF CONTENTS

	Page
LIST OF TABLES.....	viii
LIST OF FIGURES.....	ix
INTRODUCTION.....	1
TERRESTRIAL PARASITISM.....	5
Background.....	5
Biorationale for Research Design.....	7
Phytotoxins: Historical Perspective.....	13
Selection of a Suitable Target Plant.....	21
Discovery of a Pathogen.....	25
Results.....	29
Isolation of Diketopiperazines.....	32
Structure Elucidation of Diketopiperazines....	34
Isolation of Perylenequinones 24 - 27.....	45
Structure Elucidation of Perylenequinones....	48
Isolation of Tenuazonic Acid, 34.....	63
Structural Elucidation of Tenuazonic Acid, 34.	65
Biological Activity.....	67
MARINE MUTUALISM.....	82
Background.....	82
Compounds of Possible or Proven Microbial Origin..	91
Biochemical Studies of Endosymbionts.....	99
Biorationale for Research Design.....	108
Suitability of <u>Micrococcus</u> sp. as a Symbiont.....	114
Results.....	117
Isolation of Diketopiperazines.....	123
Structure Elucidation of Diketopiperazines....	124
Isolation of Tedanazine, 60.....	128
Structure Elucidation of Tedanazine, 60.....	130
Isolation of Benzothiazoles and Indoles.....	147
Structure Elucidation of Benzothiazoles.....	149
Structure Elucidation of Indole Derivatives...166	
Isolation of Daidzein.....	175
Structure Elucidation of Daidzein.....	175
Isolation of Glucose.....	177
Structure Elucidation of Glucose.....	178
Biological Activity and Significance.....	178
Conclusion.....	183

TABLE OF CONTENTS- Continued

	Page
EXPERIMENTAL.....	188
Materials and Methods.....	188
General Instrumentation.....	188
Fungal Culture Maintenance.....	188
Marine Bacterial Culture Maintenance.....	189
Fungal Culture Growth and Extraction.....	189
Marine Bacterial Culture Growth and Extraction.....	190
Artifact Control.....	192
Bioassay Protocols.....	195
Leaf Assay.....	195
Hypocotyl Assay.....	196
Antimicrobial Assay.....	196
Brine Shrimp Toxicity.....	197
Isolation of Compounds.....	198
Maculosin, 17.....	198
Cyclo(L-Pro-L-Phe), 18 and Cyclo(L-Pro-D-Phe), 19.....	199
Cyclo(Pro-Hleu), 20.....	199
Cyclo(Pro-Val), 21, Cyclo(Pro-Leu), 22, and Cyclo(Pro-Ala), 23.....	199
Cyclo(Pro-Met), 57.....	200
Cyclo(Phe-Ala), 58.....	200
Cyclo(Pro-Trp), 59.....	200
Perylenequinones 24-27.....	201
Tenuazonic Acid, 34.....	201
Tedanazine, 60.....	201
2-Mercaptobenzothiazole, 63, 2-Methylbenzothiazole, 64, 3(2-hydroxyacetyl)indole, 70, and 2-Hydroxybenzothiazole, 65.....	202
6-Hydroxy-3-methyl-2-benzothiazolone, 67, and Indole-3-methylthiocarboxylate, 71....	203
Daidzein, 74.....	203
Characterization of Compounds.....	204
Maculosin, 17.....	204
Synthesis of Maculosin, 17.....	204
Cyclo(L-Pro-L-Phe), 18.....	205
Cyclo(L-Pro-D-Phe), 19.....	206
Cyclo(Pro-Hleu), 20.....	206
Cyclo(Pro-Val), 21.....	206
Cyclo(Pro-Leu), 22.....	207
Cyclo(Pro-Ala), 23.....	207
Cyclo(Pro-Met), 57.....	207
Cyclo(Phe-Ala), 58.....	207
Cyclo(Pro-Trp), 59.....	208
Alterlosin I, 26.....	208

TABLE OF CONTENTS-Continued

	Page
Alterlosin II, 27.....	208
NaBH ₄ Reduction of Alterlosin II, 27.....	209
NaBH ₄ Reduction of Alvertoxin I, 24.....	209
Tenuazonic Acid, 34.....	210
Tedanazine, 60.....	210
2-Mercaptobenzothiazole, 63.....	211
2-Methylbenzothiazole, 64.....	211
2-Hydroxybenzothiazole, 65.....	211
6-Hydroxy-3-methyl-2-benzothiazolone, 67.....	211
3(2-hydroxyacetyl)indole, 70.....	212
Indole-3-methylthiocarboxylate, 71.....	212
Daidzein, 74.....	212
REFERENCES.....	214

LIST OF TABLES

Table	Page
1. Host-Specific Toxins of <u>Alternaria alternata</u>	30
2. Diketopiperazines Isolated, Fraction of Origin, Separation Technique, % Yield and Molecular Weight.....	33
3. Comparison of ¹ H NMR Data for Diketopiperazines, Maculosin, 17, (L-Pro-L-Phe), 18, and (L-pro-D-Phe), 19, Listing Chemical Shifts (δ), Multiplicities, and Coupling Constants(Hz).....	44
4. Comparison of ¹ H NMR Data (in CDCl ₃) for Alterlosin I, 26 and II,27.....	51
5. Comparison of Spectral Data of Maculosin and Compound of Tatsuno et al.....	69
6. Comparison of Phytotoxicity of Naturally Occurring Diketopiperazines towards Knapweed and Johnsongrass.....	71
7. Phytotoxicity Data for Determining Host Specificity of Maculosin and Synthetic Maculosin Using Leaf Assays.....	73
8. Summary of Phytotoxicity Data for Determining Host Specificity of Maculosin, Tenuazonic Acid and Alterlosin II.....	75
9. Synergy Study of Diketopiperazine Toxin and Tenuazonic Acid.....	78
10. Activity Profile of the Microbes Isolated from the Various Sponges and the Bryozoan Collected in Bermuda.....	120

LIST OF FIGURES

Figure	Page
1. Spotted Knapweed.....	22
2. Spread of Knapweed in Montana Since Mid-1920's.....	24
3. Structures of Host-Specific Toxins Isolated from Various Form-Species of <u>Alternaria alternata</u>	31
4. ¹ H NMR Spectrum of Maculosin.....	36
5. Mass Spectrum of Maculosin.....	37
6. Tyrosyl Fragment.....	38
7. ¹ H NMR Spectrum of 18.....	41
8. ¹ H NMR Spectrum of 19.....	42
9. CCC Trace of Separation of Diastereomers of Cyclo(Pro-Phe) and Perylenequinones.....	46
10. Conformational Rotamers of Cyclo(Pro-Phe).....	47
11. Perylenequinone Skeleton of 24.....	49
12. Mass Spectrum of 26.....	52
13. ¹ H NMR Spectrum of 26.....	53
14. Mass Spectrum of 27.....	57
15. ¹ H NMR Spectrum of 27.....	58
16. NMR Simulation of ABX System Using NMRCALC.....	59
17. Mass Spectrum of 60.....	132
18. Infrared Spectrum of 60.....	133
19. ¹ H NMR Spectrum of 60.....	135
20. Two Potential Skeletons for 60.....	137

LIST OF FIGURES-Continued

Figure	Page
21. ^1H NMR Assignments for 61.....	140
22. Lowest Energy Conformers of [2,2,3] Cyclazine.....	142
23. Lowest Energy Conformers of 60.....	143
24. Mass Spectral Fragmentation of 60.....	144
25. ^1H NMR Assignments for 62.....	145
26. Lowest Energy Conformers for Lactam Derivative.....	147
27. Mass Spectrum of 63.....	152
28. ^1H NMR Spectrum of 63.....	153
29. Mass Spectral Fragmentation of 63.....	154
30. Mass Spectrum of 64.....	156
31. ^1H NMR Spectrum of 64.....	157
32. ^1H NMR Spectrum of 65.....	159
33. Mass Spectrum of 65.....	160
34. Mass Spectral Fragmentation of 65.....	161
35. Mass Spectrum of 67.....	163
36. ^1H NMR Spectrum of 67.....	164
37. Mass Spectrum of 70.....	169
38. ^1H NMR Spectrum of 70.....	170
39. Mass Spectrum of 71.....	172
40. ^1H NMR Spectrum of 71.....	173

ABSTRACT

The investigation of symbiotic relationships between macroorganisms and microorganisms is a complex, fascinating research endeavor. Throughout the course of this project we explored two such interactions: the relationship between a terrestrial weed and a plant pathogenic fungus, and the relationship between a marine sponge and a gram positive bacterium. Each of these interactions represents a different aspect of symbiosis.

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Not all symbioses are as well-defined as the host-parasite relationship. A Micrococcus sp. was consistently isolated from tissues excised from the marine sponge Tedania ignis, despite the collection locale, suggesting some form of symbiosis, but not clarifying the degree of interaction. A relationship which we initially considered ambiguous between the sponge and the bacterium evolved into a true case of mutualism. Of particular interest was the isolation of three compounds from the liquid culture of the bacterium that were previously attributed to the sponge. In addition to these three compounds, several aromatic metabolites not usually associated with living organisms were also isolated from the liquid culture, characterized, and tested for biological activity.

INTRODUCTION

The investigation of symbiotic relationships requires an understanding of the scope and variability of possible interactions between organisms. In a literal sense, symbiosis simply means "living together"; in the true biological sense, however, it involves a close association between two members of different species (1). There are three extremes of symbiotic relationships. If the relationship is beneficial to both species it is called mutualism. A classic example of mutualism is the lichen, which is part fungus and part alga. The two unrelated organisms together form a closely integrated unit capable of growth under conditions that neither the fungus nor the alga could survive alone (1).

A relationship that benefits one species while neither harming nor benefiting the other species is called commensalism. The remora enjoys such a relationship with the shark. An indifferent swimmer, the remora attaches itself to the shark by means of an adhesive organ on its head. The "guest" is not only transported courtesy of its host, but also appropriates a portion of the shark's kill when it feeds (2).

If one species is harmed and the other species benefits it is called parasitism. Parasitism is considered to be a

special form of predation in which the predator is considerably smaller than the prey (3). Familiar examples of parasites are mosquitoes, fleas, and ticks.

An intriguing aspect of symbiosis is the interaction of macroorganisms and microorganisms in intimate contact with one another. Just as in interactions between two macroorganisms, such relationships can be mutualistic, commensal, or parasitic. In most cases, it is the microorganism that derives consistent benefit from such associations, and any harm is usually derived by the macroorganism (4). The exploration of such relationships and, in particular, the chemical manifestations of such interactions, provide a rich arena for natural products research.

It is sometimes difficult to determine the nature of a particular symbiotic association. All of the details of a relationship may not be known or fully understood, and relationships are subject to change with time or various circumstances. This is especially true in ascertaining the nature of macroorganism-microorganism interactions. Human beings play host to a rich population of skin bacteria, such as Staphylococcus aureus, which generally coexist as harmless commensals on the surface of the epidermis. When aspirated into the lungs or introduced into a wound, these harmless commensals may become parasitic (4). Saprophytic fungi may parasitize live plants under the proper

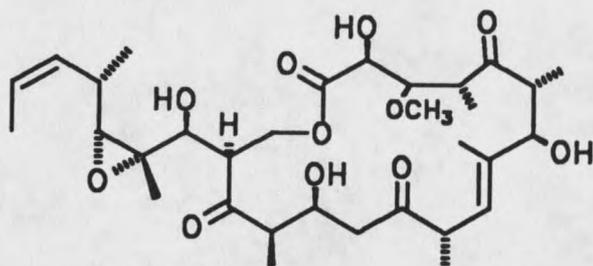
conditions (5). Any suspected instance of symbiosis must be carefully examined to verify both the existence and the nature of such a relationship.

In general, a relationship is considered symbiotic if two organisms are consistently found together even if the scope of their interaction is unknown or undefined (1). In the course of this study we chose to examine such relationships in both marine and terrestrial ecosystems. The target macroorganisms, Centaurea maculosa and Tedania ignis, were chosen because both possess intrinsic biological significance.

Spotted knapweed is the most important weed pest in Montana, and we were most interested in discovering a bacterium or fungus capable of producing phytotoxins deleterious to this plant. The first part of this study centers on the discovery of such a pathogen, an examination of its disease-inductive propensity towards knapweed, and the determination of its ability to produce substances injurious to the plant.

Organic extracts of the marine sponge Tedania ignis exhibit both cytotoxicity and in vivo tumor inhibition, and have yielded the potent cytotoxic macrolide designated tedanolide, 1 (6). The extremely low yields of tedanolide ($1 \times 10^{-4}\%$ dry weight) suggested to Schmitz that this metabolite might actually prove to be of microbial origin, as was found with tetrodotoxin (7). It was our intention

to culture the microbial flora from this sponge, as part of a larger culture effort. We could then determine if these microscopic organisms are capable of producing interesting, pharmacologically compelling compounds.



1

As natural products chemists we emphasized the chemical ramifications of interactions between organisms. In exploring the parasitism of the plant-fungus relationship we were primarily interested in toxin production, particularly host-specific toxin production, by the parasite. In exploring the marine organisms our goals were somewhat less specific, if not more ambitious. The particular bacterium which we studied was constantly isolated from collections of the sponge, regardless of the collection site. This constancy of association defines the interaction as symbiotic, but does not establish the nature of the symbiosis.

TERRESTRIAL PARASITISM

Background

The examination of the parasitism of plants by fungi is supported by extensive plant pathological lore. Fungi have long been recognized as causal agents of plant diseases. Many disease symptoms are often associated with the elaboration of one or more phytotoxins by a pathogenic fungus. To date, phytotoxins capable of expressing host specificity at the species or cultivar level are known only as metabolites of pathogens of crop plants. This is probably because crop plants are typically agro-ecosystems with a strictly homogeneous genetic base, which serves as a huge reservoir of effectively identical plant material. This homogeneity renders these plants susceptible to widespread devastation by one or more pathogens. The 1970 Southern corn leaf blight epidemic in the US-Canada, attributed to the pathogenic fungus Drechslera maydis, supports this supposition (8). This epidemic caused the greatest crop loss in the shortest time span of any plant disease on record. Corn plants with Texas-male-sterile (Tms) cytoplasm, which comprised most of the corn planted, were particularly vulnerable. A pathogen has the potential to develop and spread quickly under such conditions and can be easily observed and isolated.

This does not appear to be the case with weeds, which can be defined as opportunistic plants that compete economically or aesthetically with whatever plants are intentionally cultivated (9). Common weedy plants usually exist in a population having a heterogeneous genetic base which tends to preclude the development of such an epidemic, making discovery of the pathogen difficult, if not impossible.

The isolation and investigation of weed pathogens is important not only because of their intrinsic ability to serve as biocontrol agents, but also because of their propensity for producing novel bioactive substances. These substances may act as herbicides or provide important chemical leads to the herbicide industry (10). It was our intention to harness this propensity for phytotoxin production exhibited by a number of pathogenic fungi and direct it towards an important weed pest.

Phytotoxins vary greatly in their disease inductive abilities and in their degree of host-selectivity. We were most interested in isolating a pathogen specific to a particular weed capable of producing toxins that were also host-specific for that weed. R. K. S. Wood (5) defined host-specific toxins as follows:

Microorganism X (but not others) produces substance Y which damages plant or plant group A but not others, and only A is parasitized.

The concept of a host specific toxin is certainly not new. Over 40 years ago Meehan and Murphy (11) recognized the influence of such a compound in the disease symptomology of victoria blight of oats, which will be discussed in more detail later. Several compounds with apparent true host specificity have been isolated and characterized. It is interesting to note that no host specific toxins have ever been found to weed plants.

The focus of this study was the discovery of a weed pathogen capable of producing compounds with phytotoxicity only towards a particular weed. Once such a weed pathogen was discovered, the chemistry responsible for its virulence and host-specificity would be thoroughly investigated.

Biorationale for Research Design

There are two approaches to the utilization of plant pathogenic microorganisms as biocontrol agents. The first approach involves the inoculation of the target organism, in this case a weed, with the live pathogen, in an attempt to induce disease symptoms in the plant. The second approach involves application of selected, bioactive chemicals (phytotoxins) produced by the pathogen to the target weed to produce disease symptoms. In both cases it is necessary to follow the dictates of Köch's postulates (12) to establish absolutely an isolated pathogen as the instigator of plant disease:

1) The pathogen must be isolated from the tissues of a diseased host and established in a pure culture.

2) The pure cultural isolate must be capable of consistently inducing disease symptoms in a healthy host.

3) The pathogen must then be reisolated from the disease-induced host and re-established as a pure culture.

The deliberate use of live plant pathogenic bacteria, fungi, and viruses to achieve economic control of weeds is a relatively new endeavor. Throughout the world, the past twenty-five years have witnessed numerous attempts to control important weed pests through the introduction of selected microorganisms. Plants that have been transported into new regions either by accident or by design often assume the status of weeds due to a lack of natural predators (13). Pathogens are sought from the original geographic location of the plant for introduction into its new environs. Ideally, the pathogen will become established, reach epiphytotic levels, and eventually stabilize as an endemic population once the weed is reduced to subeconomic levels. Skeletonweed (Chondrilla juncea L.) has been successfully controlled in Australia by the introduction of the rust fungus Puccinia chondrillina from the Mediterranean region where the weed originated (14). Attempts to duplicate this success in the United States include the control of pamakani weed (Ageratina riperia) in Hawaii by introduction of the deuteromycete Cercospora

ageratina from the Caribbean area, where the weed originated.

A variant of this tactic involves the importation of pathogenic strains from the same or related host species to infest indigenous weeds which have evolved in the absence of the pathogen. Historically crucial diseases such as white pine blister rust and Dutch Elm disease in the United States, and grape powdery mildew rust in Europe, all involved the accidental introduction of pathogens to indigenous, vulnerable plant populations with devastating results (15). The intentional introduction of pathogenic organisms to weed populations may prove similarly devastating to economically undesirable plants. The deployment of fungal pathogens to indigenous weed populations is approaching commercial use in at least three cases. Water hyacinth (Eichhornia crassipes Sohms) control by application of Cercospora rodmanii is currently being tested by the U.S. Army Corps of Engineers. The fungus is expected to be marketed by Abbott Laboratories in the near future (14). The fungus Phytophthora palmivora has recently been registered, also by Abbott Laboratories, as a mycoherbicide for the control of strangler vine (Morrenia odorata Lindl.) in Florida citrus groves (14). The indigenous fungus Colletotrichum gloeosporoides f. sp. aeschynomene is being field-tested in Arkansas as a control agent for northern jointvetch in rice and soybeans, and

should be available commercially pending approval from the Environmental Protection Agency (14). Such successful applications of plant pathogens as control agents in the fight against weeds should inspire further investigation of this area of biocontrol.

The utilization of live pathogens as bioherbicidal agents is not without risk. The pathogen may actually prove more virulent to important crop plants in the area than to the desired weedy target, with predictable dire results. The pathogen may be spread beyond the target environs by the natural but somewhat unpredictable dispersal mechanisms of wind, water, and unwitting animal vectors (16).

The uncontrolled spread of an unnaturally enriched microorganism unleashed on an unsuspecting public is, of course, the stuff of nightmares and Hollywood horror movies. The more realistic concern is actually the inability of a chosen pathogen to propagate disease in a target area. There are many factors that govern the ability of a pathogen to enter the host plant and produce disease, an ability that can be termed the inoculum potential of a particular plant pathogen (17).

Inoculum potential is a function of inoculum density, nutrient availability to the propagules of infection, environmental factors, virulence of the pathogen, and host susceptibility (17). A successful biocontrol program which

involves the direct application of a pathogen to a target weed must consider all of these factors, and manipulate them as much as possible to insure infection and disease production.

Inoculum density refers to the number of viable propagules per unit area of a leaf or stem, or per unit volume of soil or water (17). The inoculum density requisite to the induction of disease in a plant community is variable, and many investigators have attempted to quantify the effects of varying densities. Researchers at the Boyce Thompson Institute have studied the dynamics of inoculum density for fungal pathogens that attack the aerial portions of plants. They found that numerous spores were required to produce one lesion on a leaf. For instance, four hundred uredospores of the wheat stem rust fungus are required to produce one lesion, while only fifteen sporangiospores of the potato late-blight fungus are needed per lesion. Spores of many obligate parasites such as the rusts produce a volatile chemical that inhibits the germination of neighboring spores. In this case, as inoculum density increases, the percentage of spores that germinate decreases. One cannot simply conclude that by doubling or tripling the inoculum density, the inoculum potential is linearly affected (17).

Nutrient availability would not be a limiting factor in disease induction as the direct interaction of the

pathogen with the weed provides a ready source of simple sugars, fats and complex carbohydrates usually vital for spore germination (17). Of much greater importance in determining whether a propagule will germinate, grow, and infect a host are environmental factors such as humidity and temperature, factors which are unpredictable at best. Most plant pathogens germinate and grow at temperatures similar to those which are optimum for the growth and germination of higher plants. Unexpected frosts can severely retard the growth and development of most plants and, therefore, of most pathogens. Moisture also affects germination of fungal spores and establishment of bacterial pathogens in the host plant. Most fungal spores require high moisture conditions for germination. Alternaria sp. require a relative humidity of 90-95% for germination, while the uredospores of rust fungi require free water for germination. It is important to note that in the cases involving successful control of weeds using live pathogens cited above, all of the weeds were found in areas with warm, moist climates. Since it was our intention to isolate a plant pathogen against a Montana weed we would not enjoy either optimum temperatures or humidity for pathogen growth and establishment. Indeed, once an appropriate pathogenic fungus was isolated from our target weed we could not successfully inoculate healthy host

plants with the fungus unless artificially high humidity was provided (17).

After considering our options, we decided to utilize the chemical approach to weed control rather than the live pathogen approach. This method also involves a search for an appropriately diseased plant and the isolation of the responsible pathogen. The pathogen would be established in pure culture and determined to be the true cause of deleterious symptoms in our target weed. It would then be grown in culture and its organic and aqueous extracts analyzed for phytotoxicity. The extracts would be subjected to a variety of separation techniques including gel permeation, centrifugal countercurrent and high performance liquid chromatographies, following a bioassay guided fractionation scheme. This process should culminate in the isolation of one or more phytotoxic metabolites. These metabolites would then be characterized and further tested to establish their host range and relative phytotoxicity profiles.

Phytotoxins: Historical Perspective

Man has been aware of the link between plant disease and blights for many centuries. The Romans were aware of the periodic plagues of rust on their wheat and actually attempted to control its ravages with "scientific" methods. They believed that a particularly capricious god, Robigus,

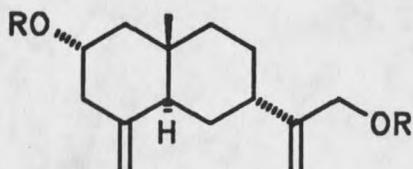
was responsible for the occurrence of the rust epidemics. They endeavored to appease the anger of the god by holding an annual festival. The faithful gathered in a sacred grove: red wine was poured over an altar and a red dog was sacrificed (18). Other than the obvious effects on the red dog, no mention is made of the success or failure of this endeavor.

Since Roman times, attempts to identify and control the causes of plant disease have met with much greater success. The earliest authenticated bacterium induced plant disease was fire blight of pear (19). This was the first of many diseases proven to be of microbial origin. The causative agent is often found to be a bacterium or fungus capable of elaborating a variety of phytotoxic compounds deleterious to the host plant. Phytotoxins vary in their degree of disease induction as well as in their degree of host-specificity. Toxins may be quite cosmopolitan in their effects or they may target a single cultivar of a given plant species, with disastrous results for that particular plant, but no other (5).

A variety of phytotoxic compounds have been isolated and identified to date, with activity expressed almost exclusively towards important crop plants such as corn, oats, and sugar cane. A disproportionately high percentage of the phytotoxins characterized to date are metabolites of the saprophytic fungal genera Alternaria, Fusarium, and

Helminthosporium (also called Drechslera) and the perfect stage of Helminthosporium, Cochliobolus (20). No attempt will be made to enumerate all of the phytotoxins isolated and characterized to date, but several representative toxin types will be presented.

In 1971 Steiner and Byther (21) reported that a host-specific toxin was produced by Helminthosporium sacchari, the causal agent of eye spot disease of sugar cane. The fungus caused eye-shaped lesions on leaves followed by the development of reddish brown runners extending from the lesion towards the leaf tip. Since the fungus could only be isolated from the lesion and not from the runners the investigators concluded that a toxin was involved in the symptomology. An unidentified toxin was isolated from the fungus which produced runners only on susceptible cultivars of sugar cane. Steiner and Strobel isolated the same toxin from H. sacchari and designated it helminthosporoside (22). The original structure proposed for the toxin was revised by Beier et al. (23); however, Macko et al. proposed the definitive structure for helminthosporoside, 2 (24). Intensive investigation into the mechanism of toxicity as well as the determinant for the demonstrated host-specificity of helminthosporoside indicated the involvement of a membrane-binding effect. An interesting result of this work is the use of helminthosporoside as a screening tool for disease resistance in sugar cane varieties (20).



2 R--O-(β -GALACTOFURANOSYL)- β -GALACTOFURANOSIDE

Orsenigo isolated ophiobolin A, 3, from cultures of Cochliobolus miyakanus, the perfect stage of Helminthosporium oryzae, the cause of brown spot of rice seedlings. The toxin inhibits the growth of rice roots and coleoptiles, and induces chlorosis in the shoots of treated rice seedlings. Activity studies suggest that the toxin irreversibly damages plant membranes (20).

The structure of the sesterterpene ophiobolin A, 3, was deduced by Nozoe et al. (25) and by Canonica et al. (26). Ophiobolin A was the first representative of a new family of sesterterpenoid phytotoxins; several other members have been discovered and much attention has been paid to their biosynthesis (27).

The Southern corn leaf blight of 1970 (1) is an example of a devastating epiphytotic event orchestrated by human intervention. Corn plants were developed with Texas-male-sterile cytoplasm (Tms) and disseminated to the agricultural community. Corn with Tms cytoplasm (most of the corn planted) proved to be extremely vulnerable to the pathogenic fungus Drechslera maydis, which resulted in an

